

Partition forcing

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²The results obtained during research stay at the University of Vienna,
Kurt Gödel Research Center for Mathematical Logic,
scholarship OeAD-GmbH ICM-2020-00442,
Aktion Österreich-Slowakei.

KGRC 2021

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It can be extended to a **MAD** family of subsets of $2^{<\omega}$.

Tree **MAD** number

Definition

α_T is the minimal size of a **MAD** family of subtrees of $2^{<\omega}$.

Compact partitions of ω^ω

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$$\mathfrak{d} \leq \alpha_T \leq \mathfrak{c}$$

α_T is really (topologically) invariant

Theorem (A. Miller 1980, O. Spinas 1997)

Let X be an uncountable Polish space. α_T is the minimal size of an uncountable partition of X into closed sets.

Some history

J. Stern 1977, K. Kunen

- ▶ $\alpha_T = \omega_1$ in the random real model ($i = u = \tau = \text{cov}(\mathcal{M}) = \mathfrak{c}$)

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O. Spinas 1997

- ▶ $\mathfrak{d} \leq \alpha_T$, α_T is invariant
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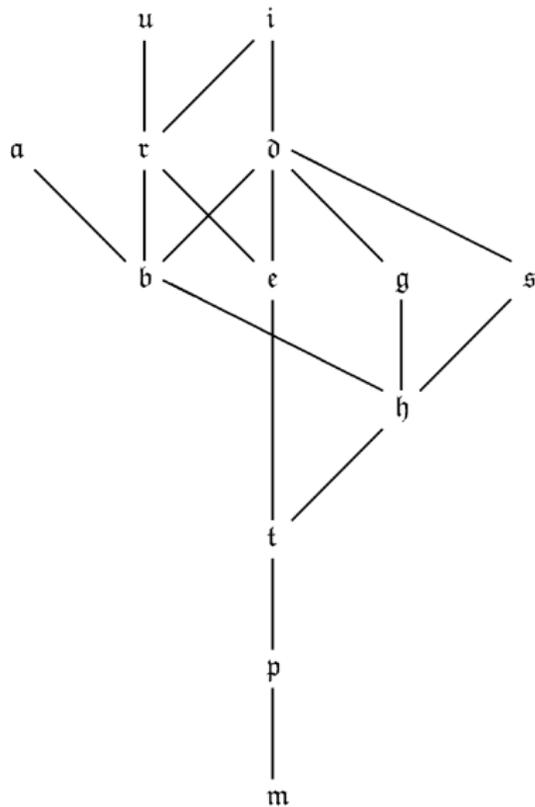
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O. Guzmán, M. Hrušák, O. Téllez 2020

- ▶ it is consistent that $\alpha_T = \omega_2$ and $\text{cof}(\mathcal{N}) = \alpha = \omega_1$

Cardinal invariants of the continuum



Main result

Theorem (V. Fischer–J.Š.)

There is a cardinals preserving generic extension in which

$$\text{cof}(\mathcal{N}) = \mathfrak{a} = \mathfrak{u} = \mathfrak{i} = \omega_1 < \mathfrak{a}_T = \omega_2.$$

Question

Is any of the inequalities $\mathfrak{a} \leq \mathfrak{a}_T$ or $\text{non}(\mathcal{N}) \leq \mathfrak{a}_T$ provable in **ZFC**?

Proof of the main result.

The plan

- (1) The overall model.
- (2) Partition forcing.
- (3) Fusion arguments.
- (4) Indestructibility - ultrafilter base.
- (5) Indestructibility - independent family.

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- ▶ Bookkeeping device such that $V^{\mathbb{P}_{\omega_2}} \models \mathfrak{a}_T = \omega_2$.
- ▶ There is \mathbb{P}_{ω_2} -indestructible independent family in V , so $V^{\mathbb{P}_{\omega_2}} \models \mathfrak{i} = \omega_1$.

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- ▶ There is \mathbb{P}_{ω_2} -indestructible **MAD** family in V , so $V^{\mathbb{P}_{\omega_2}} \models \mathfrak{a} = \omega_1$ (O. Guzmán, M. Hrušák, O. Télez 2020).

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- ▶ \mathbb{P}_{ω_2} has Sacks property, therefore $\text{cof}(\mathcal{N}) = \omega_1$ (O. Spinas 1997).

- (1) The overall model.
- (2) **Partition forcing.**
- (3) Fusion arguments.
- (4) Indestructibility - ultrafilter base.
- (5) Indestructibility - independent family.

Partition forcing

Definition (A. Miller 1980, partition forcing)

Let $\mathcal{C} = \{C_\alpha\}_{\alpha \in \omega_1}$ be an uncountable partition of 2^ω into closed sets.

- (1) $\mathbb{Q}(\mathcal{C})$ is the set of perfect trees $p \subseteq 2^{<\omega}$ such that each C_α is nowhere dense in $[p]$.
 - (2) The order of $\mathbb{Q}(\mathcal{C})$ is inclusion.
-

Let us recall that a set A which is contained in $[p]$ for some perfect subtree p of $2^{<\omega}$ is nowhere dense in $[p]$ if for every $s \in p$ there is $t \in p$ extending s and

$$\{f \in [p] : t \subseteq f\} \cap A = \emptyset.$$

Some history on partition forcing

A. Miller 1980

- ▶ The poset $\mathbb{Q}(\mathcal{C})$ is proper.
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- ▶ $\mathbb{Q}(\mathcal{C})$ is isomorphic to a dense subset of P_I (I a σ -ideal on 2^ω generated by \mathcal{C} , P_I are I -positive Borel subsets of 2^ω ordered by inclusion).

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- ▶ $\mathbb{Q}(\mathcal{C})$ strongly preserves the tightness of a tight **MAD** family.

Some history on partition forcing

L.J. Halbeisen 2012, Notes in the Chapter on Miller forcing:

NOTES

All non-trivial results presented in this chapter are essentially due to Miller and can be found in [14]. In that paper, he introduced what is now called *Miller forcing*, but which he called *rational perfect set forcing*. Miller thought about this forcing notion when he worked on his paper [13], where he used a fusion argument which involved preserving a dynamically chosen countable set of points (see [13, Lemmata 8 & 9]). This led him to perfect sets in which the rationals in them are dense, and shortly after, he realised that this is equivalent to forcing with superperfect trees. Even though superperfect trees appeared first in papers of Kechris [10] and Louveau [12], Miller was the first who investigated the corresponding forcing notion.

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Fusion arguments

Lemma

Let \dot{f} be a $\mathbb{Q}(\mathcal{C})$ -name for a function in ${}^\omega\omega$. The set of all conditions q satisfying the following property is dense in $\mathbb{Q}(\mathcal{C})$:

For all $m \in \omega$, for all $t \in \text{split}_m(q)$ there is $f_t \in {}^{m+1}\omega$ such that

$$q(t) \Vdash \dot{f} \upharpoonright (m+1) = \check{f}_t.$$

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Proof.

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- ▶ $q \Vdash \forall n(\dot{f}(n) < g(n))$.



Fusion arguments used before

Definition (A. Miller 1980)

Let p, q be conditions in $\mathbb{Q}(\mathcal{C})$. Then $p \leq^n q$ if and only if

- (1) $p \leq q$ and $\text{split}_n(p) = \text{split}_n(q)$,
- (2) for all $t \in \text{split}_n(q)$ the left most branch x_t^q of q through t belongs to $[p]$,
- (3) for each $t \in \text{split}_n(q)$ if $x_t^q \in C_\alpha$ then there is $s \supseteq t$ such that $s \in \text{split}_{n+1}(p)$ such that $[p(s)] \cap C_\alpha = \emptyset$.

If $p_{n+1} \leq^n p_n$ for each n then the $\bigcap \{p_n : n \in \omega\}$ is a fusion of $\{p_n\}_{n \in \omega}$.

Fusion arguments used before

Definition (O. Spinas 1997, O. Guzmán, M. Hrušák, O. Téllez 2020)

A family of reals $X = \{x_s : s \in \omega^{<\omega}\}$ is said to be nice if the following conditions hold:

- (1) for every $s \in \omega^{<\omega}$ the sequence $\langle x_{s \smallfrown n} \rangle_{n \in \omega}$ has the property that $\Delta(x_s, x_{s \smallfrown n}) < \Delta(x_s, x_{s \smallfrown (n+1)})$,
- (2) for every $s, t, z \in \omega^{<\omega}$ if $s \subseteq t \subseteq z$ then $\Delta(x_s, x_z) < \Delta(x_t, x_z)$, and
- (3) if for every $s \in \omega^{<\omega}$, $\alpha_s \in \omega_1$ is such that $x_s \in C_{\alpha_s}$ then whenever $s \subseteq t$ then $\alpha_s \neq \alpha_t$.

If p is a Sacks tree and there is a family $X \subseteq [p]$ which is nice with respect to \mathcal{C} and dense in $[p]$, then $p \in \mathbb{Q}(\mathcal{C})$.

Our fusion arguments

We say that $x, y \in {}^\omega 2$ are \mathcal{C} -different if x, y belong to different elements of \mathcal{C} .

A tree $p \subseteq 2^{<\omega}$ is said to be \mathcal{C} -branching if for any $s \in p$ there are \mathcal{C} -different branches in $[p]$ extending s .

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Lemma

Let $p \subseteq 2^{<\omega}$ be a tree. The following are equivalent:

- (a) $p \in \mathbb{Q}(\mathcal{C})$.
- (b) p is \mathcal{C} -branching.
- (c) p is perfect and $[p]$ contains a countable dense subset with \mathcal{C} -different branches.

Our fusion arguments

We say that a set $X \subseteq {}^\omega 2$ is a p -witness for the n -th level if

- (a) $X \subseteq [p]$,
- (b) X has \mathcal{C} -different elements,
- (c) for each $s \in \text{split}_n(p)$ there is $x \in X$ extending s .

If X is a p -witness for the $(n + 1)$ -st level then each node from n -th splitting level of p is contained in \mathcal{C} -different branches.

Our fusion arguments

Definition (Fusion sequence with witnesses)

(1) $(p, X) \leq^n (q, Y)$ if

- ▶ p, q are conditions in $\mathbb{Q}(\mathcal{C})$ such that $p \leq q$,
- ▶ $X \supseteq Y$ are p -witness for the $(n + 1)$ -st level, q -witness for the n -th level, respectively.

(2) A sequence $\{(p_n, X_n)\}_{n \in \omega}$ is a fusion sequence with witnesses if for each n ,

$$(p_{n+1}, X_{n+1}) \leq^n (p_n, X_n).$$

Note that if $(p, X) \leq^n (q, Y)$ then $\text{split}_{<n}(p) = \text{split}_{<n}(q)$.

Lemma

If a sequence $\{(p_n, X_n)\}_{n \in \omega}$ is a fusion sequence with witnesses then the fusion $\bigcap \{p_n : n \in \omega\}$ is a condition in $\mathbb{Q}(\mathcal{C})$.

Pre-processed tree

Lemma

Let \dot{f} be a $\mathbb{Q}(\mathcal{C})$ -name for a function in ${}^\omega\omega$. The set of all conditions q satisfying the following property is dense in $\mathbb{Q}(\mathcal{C})$:

For all $m \in \omega$, for all $t \in \text{split}_m(q)$ there is $f_t \in {}^{m+1}\omega$ such that

$$q(t) \Vdash \dot{f} \upharpoonright (m+1) = \check{f}_t.$$

Proof

- ▶ \dot{f} a $\mathbb{Q}(\mathcal{C})$ -name for a function in ${}^\omega\omega$, $p \in \mathbb{Q}(\mathcal{C})$.

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One branch

Claim

For each function h in ${}^\omega\omega \cap V$, the set of all conditions r satisfying the following property is dense below p :

There is a real $x \in [r]$ and a sequence $\{f_s\}_{s \in x \upharpoonright \text{split}(r)}$ of functions in ${}^{<\omega}\omega$ such that $r(s) \Vdash \dot{f} \upharpoonright h(n) = f_s$ for any $s = x \upharpoonright \text{split}_n(r)$.

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Proof.

- ▶ Construct a decreasing sequence $\{r_i\}_{i \in \omega}$ of extensions of a condition below p with strictly increasing stems such that $r_n \Vdash \dot{f} \upharpoonright h(n) = f_n$ for some $f_n \in {}^{h(n)}\omega$.

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- ▶ Denote $s_n = \text{stem } r_n$ and set $x = \bigcup_{i \in \omega} s_i$.

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- ▶ Denote $s_n = \text{stem } r_n$ and set $x = \bigcup_{i \in \omega} s_i$.
- ▶ Take the amalgamation $r = \bigcup_{i \in \omega} r_i \widehat{\langle 1 - x(|s_i|) \rangle}$.



Proof

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- ▶ Apply claim to p and $h(n) = n + 1$ to obtain condition q_0 , branch x , and sequence $\{f_s\}_{s \in x \upharpoonright \text{split}(q_0)}$.

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 - ▶ X_{n+1} is the set of all considered branches in this step.

- (1) The overall model.
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Theorem

The forcing notion $\mathbb{Q}(\mathcal{C})$ preserves P-points and Ramsey ultrafilters.

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- ▶ $V^{\mathbb{Q}(\mathcal{C})} \models \mathcal{P}(\omega) = \langle \mathcal{U} \rangle_{\text{up}} \cup \langle \mathcal{U}^* \rangle_{\text{dn}}$.

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The concept developed by S. Shelah 1992, D. Chodounský, V. Fischer, H. Grebík 2019, V. Fischer, D.C. Montoya 2019.

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▶ $\text{fil}(\mathcal{A})$ is a P-filter.

▶ $\text{fil}(\mathcal{A})$ is a Q-filter, i.e., for each partition of ω into finite sets there is a selector in $\text{fil}(\mathcal{A})$.

Preservation Theorems

Lemma (S. Shelah 1992, D. Chodounský, V. Fischer, H. Grebík 2019)

Let $\mathcal{A} \in V$ be an independent family and let $\mathbb{P} \in V$ have Sacks property. Then the filter $\text{fil}(\mathcal{A})^{V^{\mathbb{P}}}$ is generated by $\text{fil}(\mathcal{A}) \cap V$. That is, $\text{fil}(\mathcal{A})^{V^{\mathbb{P}}} = \langle \text{fil}(\mathcal{A}) \cap V \rangle_{\text{up}}$.

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Theorem (S. Shelah 1992)

(CH) Let $\langle \mathbb{P}_\alpha, \dot{Q}_\beta : \alpha \leq \delta, \beta < \delta \rangle$ be a countable support iteration of proper ${}^\omega\omega$ -bounding posets. Let $\mathcal{F} \subseteq \mathcal{P}(\omega)$ be a Ramsey set and let $\mathcal{H} \subseteq \mathcal{P}(\omega) \setminus \langle \mathcal{F} \rangle_{\text{up}}$. Suppose for each $\alpha < \delta$, $V^{\mathbb{P}_\alpha} \models \mathcal{P}(\omega) = \langle \mathcal{F} \rangle_{\text{up}} \cup \langle \mathcal{H} \rangle_{\text{dn}}$. Then, the same property holds at δ , i.e.

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Corollary

(CH) Let $\langle \mathbb{P}_\alpha, \dot{Q}_\beta : \alpha \leq \omega_2, \beta < \omega_2 \rangle$ be a countable support iteration of proper posets which preserve selective independent families and possess Sacks property. If \mathcal{A} is a selective independent family then $(\mathcal{A} \text{ is a selective independent family})^{V^{\mathbb{P}_\alpha}}$.

Indestructibility - independent family

Theorem (V. Fischer–J.Š.)

The forcing notion $\mathbb{Q}(\mathcal{C})$ preserves selective independent families. That is, if \mathcal{A} is a selective independent family then $(\mathcal{A} \text{ is a selective independent family})^{V^{\mathbb{Q}(\mathcal{C})}}$.

Proof

- ▶ Let \mathcal{A} be a selective independent family.

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- ▶ Thus we can fix $p \in \mathbb{Q}(\mathcal{C})$ and a $\mathbb{Q}(\mathcal{C})$ -name \dot{Y} for Y such that for all $h \in \text{FF}(\mathcal{A})$,
$$p \Vdash |\dot{Y} \cap \mathcal{A}^h| = \infty.$$

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- ▶ For each $t \in p$, let $Y_t = \{m \in \omega : p(t) \Vdash \check{m} \notin \dot{Y}\}$.

Outer hull

$p \Vdash |\dot{Y} \cap \mathcal{A}^h| = \infty$ for $h \in \text{FF}(\mathcal{A})$

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 - ▶ $p(t) \Vdash \dot{Y} \subseteq \check{Y}_t$.
 - ▶ $Y_t \in \text{fil}(\mathcal{A}) \cap V$.
 - ▶ If $m \in Y_s$ for $s \in \text{split}_n(p)$, and $n < m$ then there is $t \in \text{split}_m(p)$ extending s such that $p(t) \Vdash \check{m} \in \dot{Y}$.

Claim

We can assume there is a dense set $\{x_s : s \in p\} \subseteq [p]$ with \mathcal{C} -different elements and the family $\{y_s : s \in p\}$ of sets in $\text{fil}(\mathcal{A}) \cap V$ such that:

- (1) x_s extends s and if $s \subseteq t \subseteq x_s$ then $x_t = x_s$.
- (2) If $t = x_s \upharpoonright \text{split}_n(p)$ then $p(t) \Vdash y_s(n) \in \dot{Y}$.

Lemma

Let \mathcal{F} be a filter. The following are equivalent:

(a) \mathcal{F} is a Ramsey filter.

(b) For any sequence $\{F_i\}_{i \in \omega}$ in \mathcal{F} there is $a \in \mathcal{F}$ such that

$$a(n+1) \in F_{a(n)}.$$

(c) For any sequence $\{\mathcal{G}_i\}_{i \in \omega}$ of finite subsets of \mathcal{F} there is $a \in \mathcal{F}$ such that

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- ▶ For each acceptable branch x , $y_x = \{a(i+1) : i \in i(x)\} \in \text{fil}(\mathcal{A}) \cap V$.
- ▶ Proceed with fusion argument, and use exclusively acceptable branches to build a dense set of a subtree of p .

□

Proof

- ▶ $y_t \in \text{fil}(\mathcal{A}) \cap V$ for each $t \in \text{split}(p)$.

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► There is $C = \{l(n) : n \in \omega\} \in \text{fil}(\mathcal{A})$ such that

$$l(n+1) \in \bigcap \{y_t : t \in \text{split}_{\leq l(n)+2}(p)\}.$$

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▶ Construct a condition $q \leq p$ such that $q \Vdash \check{C} \subseteq \dot{Y}$.

▶ Then $q \Vdash \dot{Y} \in \text{fil}(\mathcal{A})$ which is a contradiction.

□

Question

Does the forcing notion $\mathbb{Q}(\mathcal{C})$ preserve Q-points?

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Does the forcing notion $\mathbb{Q}(\mathcal{C})$ preserve some Q-point which is not a P-point?

Theorem (J.A. Cruz-Chapital–V. Fischer–O. Guzmán–J.Š.)

It is relatively consistent that

$$\text{cof}(\mathcal{N}) = \mathfrak{i} = \mathfrak{a} = \omega_1 < \mathfrak{a}_T = \mathfrak{u} = \omega_2.$$

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Thanks for your attention!

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Preprint 1

Preprint 2