

# QUOTIENTS OF MILNOR $K$ -RINGS, ORDERINGS, AND VALUATIONS

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## Abstract

We define and study the Milnor  $K$ -ring of a field  $F$  modulo a subgroup of the multiplicative group of  $F$ . We compute it in several arithmetical situations, and study the reflection of orderings and valuations in this ring.

## Introduction

Let  $F$  be a field and let  $F^\times$  be its multiplicative group. The Milnor  $K$ -ring  $K_*^M(F)$  of  $F$  is the tensor (graded) algebra of the  $\mathbb{Z}$ -module  $F^\times$  modulo the homogenous ideal generated by all elements  $a_1 \otimes \cdots \otimes a_r$ , where  $1 = a_i + a_j$  for some  $1 \leq i < j \leq r$  [Mi]. Alongside with  $K_*^M(F)$ , the quotients  $K_*^M(F)/m = K_*^M(F)/mK_*^M(F)$ , where  $m$  is a positive integer, also play an important role in many arithmetical questions. In this paper we study a natural generalization of these two functors. Specifically, we consider a subgroup  $S$  of  $F^\times$  and define the graded ring  $K_*^M(F)/S$  to be the quotient of the tensor algebra over  $F^\times/S$  modulo the homogeneous ideal generated by all elements  $a_1 S \otimes \cdots \otimes a_r S$ , where  $1 \in a_i S + a_j S$  for some  $1 \leq i < j \leq r$ . The graded rings  $K_*^M(F)$  and  $K_*^M(F)/m$  then correspond to  $S = \{1\}$  and  $S = (F^\times)^m$ , respectively.

The ring-theoretic structure of  $K_*^M(F)/S$  reflects many of the main arithmetical properties of  $F$ , especially those related to orderings and valuations. We illustrate this by computing it in the following situations:

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- (1)  $F^\times/S$  is a finite cyclic group. Here, if  $F$  has no orderings containing  $S$  then  $K_*^M(F)/S$  is trivial in degrees  $> 1$ . Otherwise  $K_*^M(F)/S$  coincides in degrees  $> 1$  with the tensor algebra over  $\{\pm 1\}$  (Theorem 4.1). This includes as a special case the computation of the Milnor  $K$ -ring of finite fields, which goes back to Steinberg and Milnor [Mi, Example 1.5].
- (2) There is a (Krull) valuation  $v$  on  $F$  whose 1-units are contained in  $S$ . We show that under a mild assumption,  $K_*^M(F)/S$  is then obtained from the corresponding  $K$ -ring of the residue field and from  $v(F^\times)/v(S)$  by means of a natural algebraic construction analogous to the construction of a polynomial ring over a given ring (§5).
- (3)  $F^\times/S$  is finitely generated, and is generated by the 1-units of a rank-1 valuation  $v$  such that  $S$  is open in the  $v$ -topology on  $F$ . We prove that then  $K_*^M(F)/S$  is trivial in degrees  $> 1$  (Theorem 6.2).
- (4)  $F^\times/S$  is finite, and there is a rank-1 valuation  $v$  on  $F$  with mixed characteristics  $(0, p)$  such that  $S = (F^\times)^p(1 + p^2\mathfrak{m}_v)$ , where  $\mathfrak{m}_v$  is the valuation ideal (when  $v$  is Henselian the latter condition just means that  $S = (F^\times)^p$ ). We show that then  $K_*^M(F)/S$  is either the Milnor  $K$ -ring of a finite extension of  $\mathbb{Q}_p$ , or else it is trivial in degrees  $> 1$  and  $v(F^\times)$  is  $p$ -divisible (Theorem 7.4). The proof is based on the vanishing theorem of (3) above.

These results are mostly of a *local* nature. In a forthcoming paper we compute the functor  $K_*^M(F)/S$  in *global* situations, where  $S$  is related to a family of orderings and valuations.

Studying Milnor's  $K$ -theory modulo a subgroup  $S$  by means of the functor  $K_*^M(F)/S$  resembles the reduced theory of quadratic forms: there one studies quadratic forms modulo a preordering  $T$  on  $F$  via the *reduced* Witt ring functor  $W_T(F)$ , rather than the classical Witt ring – see [Lm], [BK] for details.

Furthermore, the celebrated Bloch–Kato–Milnor conjecture predicts that  $K_*^M(F)$  is isomorphic to the Galois cohomology of the absolute Galois group  $G_F$  of  $F$  with respect to twisted cyclotomic actions [K]. Similarly, when  $p$  is a prime number and  $F$  contains a primitive  $p$ th root of unity,  $K_*^M(F)/p$  is related to the Galois cohomology ring of the maximal pro- $p$  Galois group  $G_F(p)$  of  $F$  with its trivial action on  $\mathbb{Z}/p$ . From this viewpoint, the generalized functor  $K_*^M(F)/S$  serves in some sense as an analog of the Galois

cohomology of an arbitrary relative Galois group  $\text{Gal}(E/F)$  of  $F$ .

## 1. $\kappa$ -structures

In this section we define a convenient target category for the Milnor  $K$ -ring functor. It is a slight modification of the “ $\kappa$ -Algebras”, as defined by Bass and Tate in [BaT].

Denote the tensor algebra of an abelian group  $\Gamma$  by  $\text{Tens}(\Gamma)$ . We let  $\kappa = \bigoplus_{r=0}^{\infty} \kappa_r = \text{Tens}(\{\pm 1\})$ , and denote the nontrivial element of  $\kappa_1 \cong \mathbb{Z}/2$  by  $\varepsilon$ . Thus  $\kappa_0 = \mathbb{Z}$  and  $\kappa_r = \{0, \varepsilon^r\} \cong \mathbb{Z}/2$  for all  $r \geq 1$ .

DEFINITION 1.1: A  $\kappa$ -**structure** consists of a graded ring  $A = \bigoplus_{r=0}^{\infty} A_r$  and a graded ring homomorphism  $\kappa \rightarrow A$  such that:

- (i)  $A_0 = \mathbb{Z}$  and the homomorphism  $\kappa \rightarrow A$  is the identity in degree 0;
- (ii)  $A_1$  generates  $A$  as a ring;
- (iii) the image  $\varepsilon_A$  of  $\varepsilon$  in  $A$  satisfies  $a^2 = \varepsilon_A a = a \varepsilon_A$  for all  $a \in A_1$ .

For every  $a, b \in A_1$  we have  $ab + ba = (a + b)^2 - a^2 - b^2 = 0$ , by (iii). Thus  $A$  is anti-commutative. A **morphism**  $A \rightarrow B$  of  $\kappa$ -structures is a graded ring homomorphism which commutes with the structural homomorphisms  $\kappa \rightarrow A$ ,  $\kappa \rightarrow B$ .

The category of  $\kappa$ -structures has direct products. Namely, the direct product  $\prod_{i \in I} A_i$  of  $\kappa$ -structures  $A_i$ ,  $i \in I$ , is defined by  $(\prod_{i \in I} A_i)_0 = \mathbb{Z}$  and  $(\prod_{i \in I} A_i)_r = \prod_{i \in I} (A_i)_r$  for  $r \geq 1$ , with the natural multiplicative structure. The homomorphism  $\kappa_r \rightarrow \prod_{i \in I} (A_i)_r$  is given by  $\varepsilon \mapsto (\varepsilon_{A_i})_{i \in I}$ .

Recall that the tensor product in the category of *graded rings* is defined by  $A \otimes_{\mathbb{Z}} B = \bigoplus_{r=0}^{\infty} (\bigoplus_{i+j=r} A_i \otimes_{\mathbb{Z}} B_j)$ , with the product given by

$$(a \otimes b)(a' \otimes b') = (-1)^{i'j} aa' \otimes bb'$$

for  $a \in A_i$ ,  $a' \in A_{i'}$ ,  $b \in B_j$ ,  $b' \in B_{j'}$ . Given  $\kappa$ -structures  $A, B$ , we define their **tensor product** in the category of  $\kappa$ -structures to be  $A \otimes_{\kappa} B = (A \otimes_{\mathbb{Z}} B)/I$ , where  $I$  is the homogeneous ideal generated by  $\varepsilon_A \otimes 1_B - 1_A \otimes \varepsilon_B$ . The homomorphism  $\kappa \rightarrow A \otimes_{\kappa} B$  is given by  $\varepsilon \mapsto \varepsilon_A \otimes 1_B + I = 1_A \otimes \varepsilon_B + I$ . Since  $A, B$  are anti-commutative, so is  $A \otimes_{\mathbb{Z}} B$ . Further, given  $a \in A_1$  and  $b \in B_1$  we have  $(a \otimes 1_B)^2 = (\varepsilon_A \otimes 1_B)(a \otimes 1_B)$  and

$(1_A \otimes b)^2 = (1_A \otimes \varepsilon_B)(1_A \otimes b)$ , so by the anti-commutativity,

$$(a \otimes 1_B + 1_A \otimes b)^2 + I = (\varepsilon_A \otimes 1_B)(a \otimes 1_B + 1_A \otimes b) + I$$

in  $(A \otimes_\kappa B)_2$ . This implies the first equality in (iii) for  $A \otimes_\kappa B$ . The second is proved similarly, showing that  $A \otimes_\kappa B$  is a  $\kappa$ -structure. There are canonical morphisms  $\iota: A \rightarrow A \otimes_\kappa B$ ,  $\iota': B \rightarrow A \otimes_\kappa B$  with respect to which  $A \otimes_\kappa B$  is the coproduct of  $A$  and  $B$  in the category of  $\kappa$ -structures (in the sense of e.g. [Ln, Ch. I, §7]). One has  $A \cong A \otimes_\kappa \kappa$  and  $B \cong \kappa \otimes_\kappa B$  via these morphisms.

Next we construct free objects in this category. Let  $\Gamma$  be an abelian group. We define  $\kappa[\Gamma]$  to be the quotient of  $\text{Tens}(\kappa_1 \oplus \Gamma)$  by the homogeneous ideal generated by all elements  $\varepsilon \otimes \gamma - \gamma \otimes \varepsilon$ , where  $\gamma \in \Gamma$ . Replacing  $\gamma$  by  $\varepsilon + \gamma$  one sees that this ideal also contains  $\gamma \otimes \varepsilon - \gamma \otimes \gamma$ . The obvious embedding  $\kappa_1 \hookrightarrow \kappa_1 \oplus \Gamma$  induces a graded ring homomorphism  $\kappa \rightarrow \kappa[\Gamma]$ . Then  $\kappa[\Gamma]$  is a  $\kappa$ -structure satisfying the following universal property (which follows from the universal property of the tensor algebra):

*For every  $\kappa$ -structure  $B$  and an abelian group homomorphism  $\theta: \Gamma \rightarrow B_1$  there exists a unique morphism  $\kappa[\Gamma] \rightarrow B$  extending  $\theta$ .*

Given a  $\kappa$ -structure  $A$ , we call  $A[\Gamma] = A \otimes_\kappa \kappa[\Gamma]$  the **extension** of  $A$  by  $\Gamma$ . When  $A = \kappa$  it coincides with our previous notation. This extends Serre's construction mentioned in [Mi, p. 323]. We identify  $(A[\Gamma])_1 = A_1 \oplus \Gamma$ . Let  $\iota: A \rightarrow A[\Gamma]$  be the canonical morphism.

**LEMMA 1.2:** *Let  $\varphi: A \rightarrow B$  be a morphism of  $\kappa$ -structures and let  $\theta: \Gamma \rightarrow B_1$  be a homomorphism of abelian groups. There exists a unique morphism  $A[\Gamma] \rightarrow B$  extending  $\theta$  which commutes with  $\varphi$  and  $\iota$ .*

*Proof:* The universal property of  $\kappa[\Gamma]$  yields a unique morphism  $\kappa[\Gamma] \rightarrow B$  extending  $\theta$ . Now use the fact that the tensor product is a coproduct.  $\square$

**COROLLARY 1.3:** *Given a  $\kappa$ -structure  $A$  and abelian groups  $\Gamma_1, \Gamma_2$  one has  $(A[\Gamma_1])[\Gamma_2] \cong A[\Gamma_1 \oplus \Gamma_2]$ .*

**EXAMPLE 1.4:** Let  $A$  be a  $\kappa$ -structure and let  $\Gamma$  be a cyclic group with generator  $\gamma$ . For every  $i \geq 1$ , we have  $\gamma^i = \varepsilon_A^{i-1} \gamma$  in  $A[\Gamma]$ , by (iii) of Definition 1.1. It follows that  $(A[\Gamma])_r = A_r \oplus (A_{r-1} \otimes_{\mathbb{Z}} \Gamma)$  for  $r \geq 1$ .

## 2. The functor $K_*^M(F)/S$

Let  $F$  be a field and let  $S$  be a subgroup of  $F^\times$ . For  $r \geq 0$  let  $(F^\times/S)^{\otimes r} = (F^\times/S) \otimes_{\mathbb{Z}} \cdots \otimes_{\mathbb{Z}} (F^\times/S)$  ( $r$  times). Let  $\text{St}_{F,r}(S)$  be the subgroup of  $(F^\times/S)^{\otimes r}$  generated by all elements  $a_1 S \otimes \cdots \otimes a_r S$  such that  $1 \in a_i S + a_j S$  for some  $i \neq j$ . Generalizing standard terminology, we call such elements **Steinberg elements**. Let

$$K_r^M(F)/S = (F^\times/S)^{\otimes r} / \text{St}_{F,r}(S) \quad .$$

In particular,  $K_0^M(F)/S = \mathbb{Z}$  and  $K_1^M(F)/S = F^\times/S$ . For  $0 \leq t$  one has  $\text{St}_{F,r}(S) \otimes_{\mathbb{Z}} (F^\times/S)^{\otimes t} \subseteq \text{St}_{F,r+t}(S)$  and  $(F^\times/S)^{\otimes t} \otimes_{\mathbb{Z}} \text{St}_{F,r}(S) \subseteq \text{St}_{F,r+t}(S)$ . Therefore

$$K_*^M(F)/S = \bigoplus_{r=0}^{\infty} K_r^M(F)/S \quad ,$$

is a graded ring respect to the multiplication induced by the tensor product. We call it the **Milnor  $K$ -ring of  $F$  modulo  $S$** . Given  $a_1, \dots, a_r \in F^\times$  we denote the image of  $a_1 S \otimes \cdots \otimes a_r S$  in  $K_r^M(F)/S$  by  $\{a_1, \dots, a_r\}_S$ .

When  $S = \{1\}$  we obtain the classical Milnor  $K$ -ring  $K_*^M(F) = \bigoplus_{r=0}^{\infty} K_r^M(F)$  of  $F$  as in [Mi]. In this case we write as usual  $\{a_1, \dots, a_n\}$  for  $\{a_1, \dots, a_n\}_S$ . In general, we have graded ring homomorphisms  $\text{Tens}(F^\times/S) \rightarrow K_*^M(F)/S$  and  $K_*^M(F) \rightarrow K_*^M(F)/S$ .

Next we define a graded ring homomorphism  $\kappa \rightarrow K_*^M(F)/S$  by setting  $\varepsilon \mapsto -S \in F^\times/S$ . Since the identities  $\{a, a\}_S = \{-1, a\}_S = \{a, -1\}_S$  of Definition 1.1(iii) are well-known to hold when  $S = \{1\}$  [Mi, §1], they also hold in  $K_*^M(F)/S$ . Hence  $K_*^M(F)/S$  is a  $\kappa$ -structure.

**PROPOSITION 2.1:** *For positive integers  $m, r$  and for  $S = (F^\times)^m$  we have  $K_r^M(F)/S = K_r^M(F)/m$ .*

*Proof:* There is an obvious graded ring homomorphism  $\varphi: K_r^M(F)/m \rightarrow K_r^M(F)/S$  which commutes with the canonical projections from  $(F^\times)^{\otimes r}$ . Conversely, suppose  $a_1, \dots, a_r \in F^\times$  and  $a_1 S \otimes \cdots \otimes a_r S \in \text{St}_{F,r}(S)$ , i.e.,  $1 = a_i \alpha^m + a_j \beta^m$  for some  $i < j$  and  $\alpha, \beta \in F^\times$ . Then

$$\{a_1, \dots, a_r\} \in \{a_1, \dots, a_i \alpha^m, \dots, a_j \beta^m, \dots, a_r\} + m K_r^M(F) = m K_r^M(F) \quad .$$

We obtain a projection  $\psi: K_r^M(F)/S \rightarrow K_r^M(F)/m$  which also commutes with the projections from  $(F^\times)^{\otimes r}$ . Thus  $\varphi$  and  $\psi$  are converse maps, whence isomorphisms.  $\square$

We consider the class of all pairs  $(F, S)$  where  $F$  is a field and  $S \leq F^\times$  as category, in which morphisms  $(F, S) \rightarrow (F_1, S_1)$  are pairs of compatible embeddings  $F \hookrightarrow F_1$ ,  $S \hookrightarrow S_1$ . For such a pair and for  $r \geq 0$  we have a group homomorphism  $(F^\times/S)^{\otimes r} \rightarrow (F_1^\times/S_1)^{\otimes r}$  mapping  $\text{St}_{F,r}(S)$  to  $\text{St}_{F_1,r}(S_1)$ . It therefore induces a  $\kappa$ -structure morphism  $\text{Res}: K_*^M(F)/S \rightarrow K_*^M(F_1)/S_1$ , which we call the **restriction** morphism. The map  $(F, S) \mapsto K_*^M(F)/S$  is thus a covariant functor from the category of pairs  $(F, S)$  to the category of  $\kappa$ -structures.

A topology on a field  $F$  is called a **ring topology** if the addition and multiplication maps  $F \times F \rightarrow F$  are continuous. We will need:

**PROPOSITION 2.2:** *Let  $\mathcal{T}$  be a ring topology on a field  $F_1$  and let  $F$  be a subfield of  $F_1$  which is  $\mathcal{T}$ -dense in  $F_1$ . Let  $S$  be a subgroup of  $F^\times$  and let  $S_1$  be a  $\mathcal{T}$ -open subgroup of  $F_1^\times$  containing  $S$ . Then  $\text{Res}: K_*^M(F)/S \rightarrow K_*^M(F_1)/S_1$  is an epimorphism. When  $S = F \cap S_1$ , it is an isomorphism.*

*Proof:* For every  $a \in F_1^\times$  we have  $F \cap aS_1 \neq \emptyset$  by the density assumption. Hence the natural homomorphism  $F^\times/S \rightarrow F_1^\times/S_1$  is surjective. Consequently, so is  $\text{Res}: K_*^M(F)/S \rightarrow K_*^M(F_1)/S_1$ .

Suppose that  $S = F \cap S_1$ . For each  $r$  the induced map  $(F^\times/S)^{\otimes r} \rightarrow (F_1^\times/S_1)^{\otimes r}$  is an isomorphism. Therefore the injectivity of  $\text{Res}$  would follow by a snake lemma argument once we show that the induced map  $\text{St}_{F,r}(S) \rightarrow \text{St}_{F_1,r}(S_1)$  is surjective. To this end we take a generator  $a_1S_1 \otimes \cdots \otimes a_rS_1 \in \text{St}_{F_1,r}(S_1)$ , where  $a_1, \dots, a_r \in F_1^\times$  and  $1 \in a_iS_1 + a_jS_1$  for some distinct  $i, j$ . By continuity, there exist nonempty  $\mathcal{T}$ -open subsets  $V, W$  of  $S_1$  such that  $a_iV + a_jW \subseteq S_1$ . Using the density assumption we find  $x_1, \dots, x_r \in F$  with  $x_i \in a_iV$ ,  $x_j \in a_jW$ , and  $x_l \in a_lS_1$  for all  $l \neq i, j$ . Then  $x_i + x_j \in S_1 \cap F = S$ , so  $x_1S \otimes \cdots \otimes x_rS \in \text{St}_{F,r}(S)$ . Furthermore,  $x_1S \otimes \cdots \otimes x_rS$  maps to  $a_1S_1 \otimes \cdots \otimes a_rS_1$  under the homomorphism above, as required.  $\square$

### 3. Orderings

Let again  $F$  be a field, and let  $S$  be a subgroup of  $F^\times$ . Following standard terminology (see, e.g., [NSW, p. 191]), we call the map  $\text{Bock}_{F,S}: F^\times/S \rightarrow K_2^M(F)/S$ ,  $\{x\}_S \mapsto \{x\}_S^2 = \{x, -1\}_S$ , the **Bockstein operator** of the subgroup  $S$  of  $F$ . It is clearly a group homomorphism.

LEMMA 3.1: *If  $\text{Bock}_{F,S}$  is injective then  $S$  is additively closed.*

*Proof:* It suffices to show that  $1 + S \subseteq S$ . To this end take  $s \in S$ . Then

$$\text{Bock}_{F,S}(\{1 + s\}_S) = \{1 + s, -1\}_S = \{1 + s, -s\}_S = 0 \quad .$$

By the injectivity,  $\{1 + s\}_S = 0$ , so  $1 + s \in S$ .  $\square$

By an **ordering** on  $F$  we mean an additively closed subgroup  $P$  of  $F^\times$  such that  $F^\times = P \cup -P$ . Recall that a ring is reduced if it has no nilpotent elements  $\neq 0$ . The following fact is a variant of [BaT, I, Th. (3.1)].

PROPOSITION 3.2: *The following conditions are equivalent:*

- (a)  $K_*^M(F)/S \cong \kappa$  as  $\kappa$ -structures;
- (b)  $F^\times = S \cup -S$  and  $K_*^M(F)/S$  is reduced;
- (c)  $F^\times = S \cup -S$  and  $\{-1, -1\}_S \neq 0$ ;
- (d)  $S$  is an ordering on  $F$ .

*Proof:* (a) $\Rightarrow$ (b) $\Rightarrow$ (c): Immediate.

(c) $\Rightarrow$ (d): By Lemma 3.1 and the assumptions,  $S$  is additively closed. The rest is clear.

(d) $\Rightarrow$ (a): We first show that  $\text{St}_{F,r}(S)$  is trivial for all  $r \geq 2$ . Indeed, take  $a_1, \dots, a_r \in F^\times$  with  $1 \in a_i S + a_j S$  for some distinct  $1 \leq i, j \leq r$ . If  $a_i, a_j$  were both in  $-S$  then we would get  $-1 \in S + S \subseteq S$ , a contradiction. Hence at least one of  $a_i, a_j$  must be in  $S$ . It follows that  $a_1 S \otimes \dots \otimes a_r S = 1$  in  $(F^\times/S)^{\otimes r}$ , as claimed.

Consequently,  $K_*^M(F)/S = \text{Tens}(F^\times/S) \cong \text{Tens}(\{\pm 1\}) = \kappa$  as graded rings. Further, this is a  $\kappa$ -structure isomorphism.  $\square$

A **preordering** on  $F$  is an additively closed subgroup  $S$  of  $F^\times$  containing  $(F^\times)^2$  but not  $-1$ . Preorderings can be characterized  $K$ -theoretically as follows.

PROPOSITION 3.3: Suppose that  $(F^\times)^2 \leq S < F^\times$ . The following conditions are equivalent:

- (a)  $S$  is a preordering on  $F$ ;
- (b)  $\text{Bock}_{F,S}$  is injective.

*Proof:* (a) $\Rightarrow$ (b): Let  $x \in F^\times$  satisfy  $\{x\}_S^2 = 0$  and let  $P$  be an ordering on  $F$  containing  $S$ . Then  $\{x\}_P^2 = 0$ , and since  $K_*^M(F)/P \cong \kappa$  is reduced (Proposition 3.2),  $\{x\}_P = 0$ , i.e.,  $x \in P$ . Being a preordering,  $S$  is the intersection of all the orderings  $P$  containing it [Lm, Th. 1.6]. Consequently,  $x \in S$ , as desired.

(b) $\Rightarrow$ (a): In light of Lemma 3.1,  $S$  is additively closed. By assumption, there exists  $x \in F^\times \setminus S$ . By the injectivity,  $\{x, -1\}_S \neq 0$ . Hence  $-1 \notin S$ , so  $S$  is a preordering.  $\square$

#### 4. The cyclic case

Using the  $K$ -theoretic analysis of orderings obtained in the previous section, we can now completely describe  $K_*^M(F)/S$  when  $F^\times/S$  is a finite cyclic group.

THEOREM 4.1: Let  $F$  be a field and let  $S$  be a subgroup of  $F^\times$  such that  $F^\times/S$  is finite and cyclic. Then one of following holds:

- (a)  $K_r^M(F)/S = 0$  for all  $r \geq 2$ ;
- (b)  $(F^\times : S) = 2m$  with  $m$  odd, and there exists a unique ordering  $P$  on  $F$  containing  $S$ , and furthermore,  $\text{Res}: K_*^M(F)/S \rightarrow K_*^M(F)/P (\cong \kappa)$  is an isomorphism in all degrees  $r \geq 2$ .

*Proof:* Let  $p_1^{d_1} \cdots p_n^{d_n}$  be the primary decomposition of  $(F^\times : S)$ . For each  $1 \leq i \leq n$  choose  $a_i \in F^\times$  such that the coset  $\{a_i\}_S$  generates the  $p_i$ -primary part of  $F^\times/S$ . Let  $a = a_1 \cdots a_n$ . Then the coset  $\{a\}_S$  generates  $F^\times/S$ , and one has  $\{a, a\}_S = \{a, -1\}_S = \sum_{i=1}^n \{a_i, -1\}_S$ .

Assume that (a) does not hold, i.e.,  $K_r^M(F)/S \neq 0$  for some  $r \geq 2$ . Since the canonical map  $(F^\times/S)^r \rightarrow K_r^M(F)/S$  is multi-linear,  $\{a, \dots, a\}_S$  generates  $K_r^M(F)/S$ . Hence  $\{a, \dots, a\}_S \neq 0$ , and therefore  $\{a, a\}_S \neq 0$ . It follows that  $\{a_i, -1\}_S \neq 0$  for some  $1 \leq i \leq n$ . We obtain that the orders of  $\{a_i, -1\}_S$  and of  $\{-1\}_S$  are precisely 2. Furthermore,  $p_i^{d_i} \{a_i, -1\}_S = 0$ , so we must have  $p_i = 2$ . Therefore  $2^{d_i-1} \{a_i\}_S = \{-1\}_S$ ,

and we get

$$2^{d_i-1}\{a_i, -1\}_S = 2^{d_i-1}\{a_i, a_i\}_S = \{a_i, -1\}_S \neq 0 \quad .$$

This implies that  $d_i = 1$ . Consequently,  $(F^\times : S) = 2m$ , with  $m$  odd.

Let  $P$  be the unique subgroup of  $F^\times$  of index 2 which contains  $S$ . Then  $P/S$  is cyclic of order  $m$ , and is generated by  $\{a^2\}_S$ . Since  $\{-1\}_S$  has order 2 in  $F^\times/S$ , it is not in  $P/S$ . Therefore  $F^\times = P \cup -P$ .

Next we claim that  $1 + P \subseteq P$ . Indeed, suppose that  $x \in P$ . In particular,  $x \neq -1$ . Take  $s, t$  with  $-x \in a^s S$  and  $1 + x \in a^t S$ . Then

$$0 = \{-x, 1 + x\}_S = \{a^s, a^t\}_S = st\{a, a\}_S \quad .$$

Now  $-x \notin P$ , so  $s$  is odd. But  $\{a, a\}_S = \{a, -1\}_S$  has order 2. It follows that  $t$  must be even, i.e.,  $1 + x \in P$ . Conclude that  $P$  is additively closed, whence an ordering.

Finally, for every  $r$  the functorial map  $K_r^M(F)/S \rightarrow K_r^M(F)/P$  is clearly surjective. When  $2 \leq r$  the group  $K_r^M(F)/S$  is generated by  $\{a, a, \dots, a\}_S = \{a, -1, \dots, -1\}_S$ , whence has order at most 2. By Proposition 3.2,  $K_r^M(F)/P$  has order 2. Consequently, the above map is an isomorphism, and (b) holds.

For the uniqueness part of (b), assume that  $S \leq P' < F^\times$  is another ordering on  $F$ . Then  $4|(F^\times : P \cap P')|(F^\times : S) = 2m$ , contrary to the fact that  $m$  is odd.  $\square$

**COROLLARY 4.2:** *Let  $S$  be a subgroup of  $F^\times$  with  $F^\times/S$  cyclic of prime power order. Then either  $K_r^M(F)/S = 0$  for all  $r \geq 2$ , or  $S$  is an ordering (whence  $K_*^M(F)/S \cong \kappa$ ).*

As mentioned in the introduction, Theorem 4.1 generalizes the well-known fact that  $K_2^M(F) = 0$  for a finite field  $F$  ([Mi, Example 1.5], [FV, Ch. IX, Prop. 1.3]). Indeed,  $F^\times$  is cyclic [Ln, Ch. VII, §5, Th. 11] and since  $\text{char } F > 0$ , there are no orderings on  $F$ .

## 5. $S$ -compatible valuations

Recall that a (Krull) **valuation** on a field  $F$  is a group homomorphism  $v$  from  $F^\times$  into an ordered abelian group  $(\Gamma, \leq)$  such that  $v(x+y) \geq \min\{v(x), v(y)\}$  for all  $x, y \in F$  with  $x \neq -y$ . One defines  $v(0)$  to be a formal value  $+\infty$  which is strictly larger than every value in  $\Gamma$ . Let  $O_v$  be the valuation ring of  $v$ , and  $\mathfrak{m}_v$  its maximal ideal. Thus  $x \in F$  lies in  $O_v$  (resp.,  $\mathfrak{m}_v$ ) if and only if  $v(x) \geq 0$  (resp.,  $v(x) > 0$ ). Let  $O_v^\times$  be the unit group of  $O_v$ , let  $G_v = 1 + \mathfrak{m}_v$  be the group of principal units of  $v$ , let  $\bar{F}_v = O_v/\mathfrak{m}_v$  be the residue field of  $v$ , and  $\pi_v: O_v \rightarrow \bar{F}_v$ ,  $a \mapsto \bar{a}$ , the canonical projection.

Let  $S$  a subgroup of  $F^\times$ . Its push-down  $\bar{S}_v = \pi_v(S \cap O_v^\times)$  under  $v$  is a subgroup of  $\bar{F}_v^\times$ . The maps  $v$  and  $\pi_v$  induce short exact sequences of abelian groups:

$$1 \rightarrow S \cap O_v^\times \rightarrow S \xrightarrow{v} v(S) \rightarrow 0 \quad , \quad 1 \rightarrow S \cap G_v \rightarrow S \cap O_v^\times \xrightarrow{\pi_v} \bar{S}_v \rightarrow 1 \quad . \quad (5.1)$$

In particular, this holds for  $S = F^\times$ . The snake lemma therefore gives rise to canonical exact sequences

$$1 \rightarrow O_v^\times / (S \cap O_v^\times) \rightarrow F^\times / S \xrightarrow{v^*} v(F^\times) / v(S) \rightarrow 0 \quad (5.2)$$

$$1 \rightarrow G_v / (S \cap G_v) \rightarrow O_v^\times / (S \cap O_v^\times) \xrightarrow{\pi_v^*} \bar{F}_v^\times / \bar{S}_v \rightarrow 1 \quad . \quad (5.3)$$

Following [AEJ], we say that the valuation  $v$  is  **$S$ -compatible** if  $G_v \leq S$  (when  $S = (F^\times)^p$  for  $p$  prime and  $\text{char } \bar{F}_v \neq p$ , this is a weak form of Hensel's lemma [Wd, Prop. 1.2]). Then the sequences (5.2)–(5.3) combine to a single canonical short exact sequence

$$1 \rightarrow \bar{F}_v^\times / \bar{S}_v \xrightarrow{\eta} F^\times / S \xrightarrow{v^*} v(F^\times) / v(S) \rightarrow 0 \quad , \quad (5.4)$$

where for  $a \in O_v^\times$  with residue  $\bar{a}$  we set  $\eta(\{\bar{a}\}_{\bar{S}_v}) = \{a\}_S$ .

We will be interested in situations where (5.2) splits. For example, this is so in the following cases:

- (1)  $v(F^\times) \cong \mathbb{Z}$  and  $S = \{1\}$ . Then a section of  $v^*$  corresponds to a choice of a uniformizer for  $v$ .
- (2)  $(F^\times)^p \leq S$  for some prime number  $p$ . In fact, then  $F^\times / S$  and  $v(F^\times) / v(S)$  are free  $\mathbb{Z}/p$ -modules.

(3)  $(F^\times)^q \leq S \leq (F^\times)^q O_v^\times$ , where  $q = p^s$  is a prime power. Indeed, the group  $v(F^\times)$  is torsion-free, whence a flat  $\mathbb{Z}$ -module. Therefore  $v(F^\times)/v(S) = v(F^\times)/q$  is a flat  $\mathbb{Z}/q$ -module. Since  $\mathbb{Z}/q$  is a nilpotent local ring, it is a consequence of the Nakayama lemma [Ma, 3.G] that  $v(F^\times)/q$  is a free  $\mathbb{Z}/q$ -module.

We now obtain a connection between valuations and extensions of  $\kappa$ -structures, in the sense of §1.

**THEOREM 5.1:** *Let  $F$  be a field and let  $S$  be a subgroup of  $F^\times$ . Every section of (5.2) induces canonically an epimorphism of  $\kappa$ -structures*

$$K_*^M(F)/S \longrightarrow (K_*^M(\bar{F}_v)/\bar{S}_v)[v(F^\times)/v(S)] \quad .$$

Moreover, this morphism is injective if and only if  $v$  is  $S$ -compatible.

*Proof:* Let  $\theta: v(F^\times)/v(S) \rightarrow F^\times/S$  be a section of  $v^*$ . Take  $S \leq \Delta \leq F^\times$  with  $\Delta/S = \text{Im}(\theta)$ . Then  $F^\times/S = (SO_v^\times/S) \times (\Delta/S)$ . Thus every  $x \in F^\times$  can be written as  $x = ab$  with  $a \in O_v^\times$  and  $b \in \Delta$ . We set  $\bar{a} = \pi_v(a)$  and write  $[v(b)]_S$  for the coset of  $v(b)$  in  $v(F^\times)/v(S)$ . We obtain a well-defined group epimorphism

$$F^\times/S \rightarrow (\bar{F}_v^\times/\bar{S}_v) \oplus (v(F^\times)/v(S)) \quad , \quad \{x\}_S \mapsto \{\bar{a}\}_{\bar{S}_v} + [v(b)]_S \quad . \quad (5.5)$$

This abelian group epimorphism uniquely extends to a graded ring epimorphism

$$\lambda: \text{Tens}(F^\times/S) \rightarrow (K_*^M(\bar{F}_v)/\bar{S}_v)[v(F^\times)/v(S)] \quad .$$

We claim that  $\lambda$  is trivial on  $\text{St}_{F,r}(S)$  for all  $r$ . It suffices to show that  $\lambda(\{x\}_S \otimes \{y\}_S) = 0$  when  $x, y \in F^\times$  and  $1 \in xS + yS$ . We may assume that  $1 = x + y$ . Write  $x = ab$  and  $y = cd$ , with  $a, c \in O_v^\times$  and  $b, d \in \Delta$ . Then

$$\begin{aligned} \lambda(xS \otimes yS) &= (\{\bar{a}\}_{\bar{S}_v} + [v(b)]_S) \cdot (\{\bar{c}\}_{\bar{S}_v} + [v(d)]_S) \\ &= \{\bar{a}, \bar{c}\}_{\bar{S}_v} + (\{\bar{a}\}_{\bar{S}_v} \cdot [v(d)]_S - \{\bar{c}\}_{\bar{S}_v} \cdot [v(b)]_S) + [v(b)]_S \cdot [v(d)]_S \quad . \end{aligned}$$

To show that this expression vanishes we distinguish between four cases:

**CASE I:**  $x \in G_v$ . Here we can take  $a = x$  and  $b = 1$ . Then  $\{\bar{a}\}_{\bar{S}_v} = 0$  and  $[v(b)]_S = 0$ , so the assertion is clear.

CASE II:  $x \in \mathfrak{m}_v$ . Then  $y \in G_v$ , so we can take  $c = y$  and  $d = 1$ . Hence  $\{\bar{c}\}_{\bar{S}_v} = 0$  and  $[v(d)]_S = 0$ , and we are done again.

CASE III:  $x \in O_v^\times \setminus G_v$ . Then  $y = 1 - x \in O_v^\times$ , so we can take  $a = x$ ,  $b = 1$ ,  $c = y$ , and  $d = 1$ . Hence  $\lambda(xS \otimes yS) = \{\bar{x}, \overline{1-x}\}_{\bar{S}_v} = 0$  once again.

CASE IV:  $x^{-1} \in \mathfrak{m}_v$ . For any  $a, b$  as above,  $y = a(x^{-1} - 1) \cdot b$ , with  $a(x^{-1} - 1) \in O_v^\times$ . Thus we may take  $c = a(x^{-1} - 1)$  and  $d = b$ . Then  $\{\bar{c}\}_{\bar{S}_v} = \{-\bar{a}\}_{\bar{S}_v}$ . Further,  $\{\bar{a}\}_{\bar{S}_v} - \{-\bar{a}\}_{\bar{S}_v} = \{-\bar{1}\}_{\bar{S}_v}$  and  $\{\bar{a}, -\bar{a}\}_{\bar{S}_v} = 0$ . It follows that

$$\lambda(xS \otimes yS) = \{-\bar{1}\}_{\bar{S}_v} \cdot [v(b)]_S + [v(b)]_S \cdot [v(b)]_S = 0 \quad ,$$

using property (iii) of Definition 1.1.

This proves the claim. Consequently,  $\lambda$  induces an epimorphism of  $\kappa$ -structures

$$\bar{\lambda}: K_*^M(F)/S \longrightarrow (K_*^M(\bar{F}_v)/\bar{S}_v)[v(F^\times)/v(S)] \quad ,$$

as desired.

For the second assertion of the theorem, suppose that  $v$  is  $S$ -compatible. Then (5.4) is exact. The abelian group monomorphism  $\eta$  of (5.4) induces a morphism  $\text{Tens}(\bar{F}_v^\times/\bar{S}_v) \rightarrow \text{Tens}(F^\times/S)$  of graded rings. Since  $G_v \leq S$ , it maps  $\text{St}_{\bar{F}_v, r}(\bar{S}_v)$  into  $\text{St}_{F, r}(S)$  for every  $r \geq 1$ . Hence it induces a  $\kappa$ -structure morphism  $K_*^M(\bar{F}_v)/\bar{S}_v \rightarrow K_*^M(F)/S$ . By the universal property of extensions (Lemma 1.2), there exists a unique  $\kappa$ -structure morphism  $\bar{\nu}$  which extends the section  $\theta$  and for which the following diagram commutes (where  $\iota$  is the canonical morphism as in §1):

$$\begin{array}{ccc} K_*^M(\bar{F}_v)/\bar{S}_v & \xrightarrow{\iota} & (K_*^M(\bar{F}_v)/\bar{S}_v)[v(F^\times)/v(S)] \\ & \searrow & \downarrow \bar{\nu} \\ & & K_*^M(F)/S \quad . \end{array}$$

In degree 1,  $\bar{\nu}$  coincides with the isomorphism  $\eta \oplus \theta$ . Hence it is surjective in all degrees. By construction,  $\bar{\lambda}$  is given in degree 1 by the map (5.5). It follows that  $\bar{\lambda} \circ \bar{\nu} = \text{id}$  in degree 1, and therefore in all degrees. This proves that  $\bar{\nu}$  is injective. Therefore both  $\bar{\nu}$  and  $\bar{\lambda}$  are isomorphisms.

Conversely, suppose that  $\bar{\lambda}$  is an isomorphism. Its definition in degree 1 shows that it maps  $G_v S/S$  trivially. Hence  $G_v \leq S$ , as required.  $\square$

REMARK 5.2: When  $v$  is a discrete valuation and  $S = \{1\}$ , the first part of Theorem 5.1 is due to Bass and Tate [BaT, I, Prop. 4.3]. They also prove its second part when  $(F, v)$  is a complete discretely valued field with positive residue characteristic prime to  $m$  and when  $S = (F^\times)^m$  [BaT, I, Cor. 4.7]. Note that in the latter case  $v$  is  $S$ -compatible by Hensel's lemma. Wadsworth [Wd, §2] proves Theorem 5.1 for any valued field  $(F, v)$  when  $S = (F^\times)^q G_v$  and  $q$  is a prime power.

REMARK 5.3: The epimorphism of Theorem 5.1 is functorial in the following sense: suppose that  $(F_1, v_1)$  is a valued field extension of  $(F, v)$ , that  $S \leq F^\times$ ,  $S_1 \leq F_1^\times$ ,  $S \leq S_1$ , and that there exist homomorphic sections  $\theta, \theta_1$  of the projections  $v^*: F^\times/S \rightarrow v(F^\times)/v(S)$ ,  $v_1^*: F_1^\times/S_1 \rightarrow v_1(F_1^\times)/v_1(S_1)$  induced by  $v, v_1$ , respectively. Moreover, suppose that the following square commutes:

$$\begin{array}{ccc} v(F^\times)/v(S) & \xrightarrow{\theta} & F^\times/S \\ \downarrow & & \downarrow \\ v_1(F_1^\times)/v_1(S_1) & \xrightarrow{\theta_1} & F_1^\times/S_1 . \end{array}$$

Then the epimorphisms given in Theorem 5.2 and the restriction morphisms induce a square:

$$\begin{array}{ccc} K_*^M(F)/S & \longrightarrow & (K_*^M(\bar{F}_v)/\bar{S}_v)[v(F^\times)/v(S)] \\ \downarrow & & \downarrow \\ K_*^M(F_1)/S_1 & \longrightarrow & (K_*^M((\bar{F}_1)_{v_1})/(\bar{S}_1)_{v_1})[v_1(F_1^\times)/v_1(S_1)] . \end{array}$$

This square commutes in degree 1, hence in all degrees.

REMARK 5.4: There are partially converse results to Theorem 5.1. Namely, if  $S = (F^\times)^p$  for a prime number  $p$  and if  $K_*^M(F)/S$  is an extension of some  $\kappa$ -structure by  $(\mathbb{Z}/p)^d$  then (apart from some well-understood exceptional cases)  $F$  is equipped with an  $S$ -compatible valuation  $v$  with  $v(F^\times)/pv(F^\times) \cong (\mathbb{Z}/p)^d$ . Indeed, this follows from results of Arason, Elman, Hwang, Jacob, and Ware ([J], [Wr], [AEJ], [HJ]); see [E2] for a  $K$ -theoretic formulation of this line of results.

## 6. A vanishing theorem

Recall that a valuation  $v$  on  $F$  induces a ring topology  $\mathcal{T}_v$  on  $F$ , with basis consisting of all sets  $a + bO_v$ , where  $a, b \in F$  and  $b \neq 0$ . For  $0 < \gamma \in v(F^\times)$  the set

$$W_\gamma = \left\{ x \in F^\times \mid v(1-x) \geq \gamma \right\}$$

is a  $\mathcal{T}_v$ -open subgroup of  $G_v = 1 + \mathfrak{m}_v$ .

LEMMA 6.1: *Let  $v$  be a valuation on the field  $F$ . Let  $S$  be a subgroup of  $F^\times$  such that  $G_v/(S \cap G_v)$  is a finitely generated group. Then there exists  $0 < \gamma \in v(F^\times)$  such that:*

- (i)  $SG_v = SW_\gamma$ ;
- (ii) if  $\text{char } \bar{F}_v = p$  then  $1 + pO_v \leq W_\gamma$ .

*Proof:* We choose  $a_1, \dots, a_n \in \mathfrak{m}_v$  such that the cosets of  $1 - a_i$ ,  $i = 1, \dots, n$ , generate  $G_v/(S \cap G_v)$ . Hence  $(1 - a_i)S$ ,  $i = 1, \dots, n$ , generate  $SG_v/S$ . Take any  $0 < \gamma \leq \min\{v(a_1), \dots, v(a_n)\}$ . Then  $1 - a_i \in W_\gamma$ ,  $i = 1, \dots, n$ . Combined with  $W_\gamma \leq G_v$ , this shows that  $SW_\gamma/S = SG_v/S$ . When  $\text{char } \bar{F}_v = p$  we take

$$\gamma = \min\{v(p), v(a_1), \dots, v(a_n)\} \quad . \quad \square$$

One says that the valuation  $v$  on  $F$  has **rank 1** if  $v(F^\times)$  embeds in  $\mathbb{R}$  as an ordered abelian group.

THEOREM 6.2: *Let  $v$  be a valuation of rank 1 on the field  $F$ . Let  $S$  be a  $\mathcal{T}_v$ -open subgroup of  $F^\times$  such that  $F^\times/S$  is finitely generated and  $F^\times = SG_v$ . Then  $K_r^M(F)/S = 0$  for all  $r \geq 2$ .*

*Proof:* It suffices to show that  $aS \otimes bS \in \text{St}_{F,2}(S)$  for  $a, b \in G_v$ . Suppose that this is not the case. In particular,  $a, b \notin S$ . Lemma 6.1 yields  $0 < \gamma \in v(F^\times)$  such that  $F^\times = SG_v = SW_\gamma$ .

We define inductively a sequence  $c_1, c_2, \dots \in G_v$  such that for each  $i$ ,

$$1 - c_i \in (1 - b)(1 - W_\gamma)^{i-1} \quad , \quad aS \otimes bc_i^{-1}S \in \text{St}_{F,2}(S) \quad .$$

We can take  $c_1 = b$ . Next suppose that  $c_i$  has already been constructed. Since  $aS \otimes bS \notin \text{St}_{F,2}(S)$  we have  $c_i \neq 1$ . We choose  $y_i \in S$  such that  $a/(1 - c_i^{-1}) \in y_i W_\gamma$ . As  $a \notin S$  and

$y_i \in S$ , we may define  $c_{i+1} = c_i(1 - y_i^{-1}a)$ . Since  $c_i \in G_v$  we have  $y_i^{-1}a \in (1 - c_i^{-1})W_\gamma \subseteq \mathfrak{m}_v$ . Hence  $c_{i+1} \in G_v$ . Now

$$\frac{1 - c_{i+1}}{1 - c_i} = 1 - \frac{y_i^{-1}a}{1 - c_i^{-1}} \in 1 - W_\gamma \quad ,$$

so by the induction hypothesis,  $1 - c_{i+1} \in (1 - b)(1 - W_\gamma)^i$ . Furthermore,

$$\begin{aligned} aS \otimes bc_{i+1}^{-1}S &= aS \otimes bc_i^{-1}S - aS \otimes (1 - y_i^{-1}a)S \\ &= aS \otimes bc_i^{-1}S - y_i^{-1}aS \otimes (1 - y_i^{-1}a)S \in \text{St}_{F,2}(S) \quad . \end{aligned}$$

This completes the inductive construction.

Since  $v$  has rank 1, the sets  $(1 - W_\gamma)^s$ ,  $s = 1, 2, 3, \dots$ , form a local basis for  $\mathcal{T}_v$  at 0. As  $b \neq 1$ , the set  $(1 - b)^{-1}(1 - S)$  is a  $\mathcal{T}_v$ -open neighborhood of 0. Hence there exists a positive integer  $t$  such that  $(1 - W_\gamma)^t \subseteq (1 - b)^{-1}(1 - S)$ . Then  $1 - c_{t+1} \in (1 - b)(1 - W_\gamma)^t \subseteq 1 - S$ , so  $c_{t+1} \in S$ . We conclude that  $aS \otimes bS = aS \otimes bc_{t+1}^{-1}S \in \text{St}_{F,2}(S)$ , a contradiction.  $\square$

## 7. Wild valuations of rank 1

In this section we study  $K_*^M(F)$  when  $F$  is a field of characteristic 0 equipped with a valuation  $v$  with  $\text{char } \bar{F}_v = p > 0$ . First we assume that  $v$  is a discrete valuation. Thus  $\mathfrak{m}_v = aO_v$  for some  $a \in \mathfrak{m}_v$ . For  $i \geq 1$  the map  $1 + \mathfrak{m}_v^i \rightarrow \bar{F}_v$ ,  $1 + a^i b \mapsto \pi_v(b)$ , is a group homomorphism with kernel  $1 + \mathfrak{m}_v^{i+1}$ .

**LEMMA 7.1:** *Let  $(E, u)/(F, v)$  be an extension of discrete valued fields with the same value group and residue field. Then:*

- (a)  $(1 + \mathfrak{m}_u^i)/(1 + \mathfrak{m}_v^i) \cong E^\times/F^\times$  canonically for all  $i \geq 1$ ;
- (b) for every  $\mathcal{T}_u$ -open subgroup  $S$  of  $E^\times$  one has  $E^\times = F^\times S$ .

*Proof:* (a) For  $i = 1$  this follows from the exact sequences (5.2)–(5.3) (for the subgroup  $F^\times$  of  $E^\times$ ). For  $1 \leq i$  the preceding remark gives a commutative diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \rightarrow & 1 + \mathfrak{m}_v^{i+1} & \rightarrow & 1 + \mathfrak{m}_v^i & \rightarrow & \bar{F}_v & \rightarrow & 1 \\ & & \downarrow & & \downarrow & & \parallel & & \\ 0 & \rightarrow & 1 + \mathfrak{m}_u^{i+1} & \rightarrow & 1 + \mathfrak{m}_u^i & \rightarrow & \bar{E}_u & \rightarrow & 1 \quad . \end{array}$$

The snake lemma gives rise to a canonical isomorphism

$$(1 + \mathfrak{m}_u^{i+1})/(1 + \mathfrak{m}_v^{i+1}) \xrightarrow{\sim} (1 + \mathfrak{m}_u^i)/(1 + \mathfrak{m}_v^i) \quad ,$$

so we are done by induction.

(b) Since  $u$  is discrete, the subgroups  $1 + \mathfrak{m}_u^i$ ,  $i = 1, 2, 3, \dots$ , form a local basis for  $\mathcal{T}_u$  at 1. Hence there exists  $i$  with  $1 + \mathfrak{m}_u^i \leq S$ . By (a),  $E^\times = F^\times(1 + \mathfrak{m}_u^i)$ , so  $E^\times = F^\times S$ .  $\square$

Now let  $p$  be a prime number and let  $q = p^d$  be a  $p$ -power,  $d \geq 1$ .

**PROPOSITION 7.2:** *Let  $v$  be a discrete valuation on a field  $F$  such that  $\text{char } F = 0$  and  $\text{char } \bar{F}_v = p$ . Let  $(E, u)$  be the completion of  $(F, v)$  and let  $S = (F^\times)^q(1 + q^2\mathfrak{m}_v)$ . Then  $\text{Res}: K_*^M(F)/S \rightarrow K_*^M(E)/q$  is an isomorphism.*

*Proof:* By the Hensel–Rychlik lemma [FV, Ch. II, (1.3), Cor. 2],  $1 + q^2\mathfrak{m}_u \leq (E^\times)^q$ . In particular,  $(E^\times)^q$  is  $\mathcal{T}_u$ -open in  $E$ . By Lemma 7.1(b),  $E^\times = F^\times(1 + q\mathfrak{m}_u)$ . Hence  $(E^\times)^q = (F^\times)^q(1 + q^2\mathfrak{m}_u)$ . It follows that  $F \cap (E^\times)^q = (F^\times)^q(1 + q^2\mathfrak{m}_v) = S$ .

Since  $F$  is  $\mathcal{T}_u$ -dense in  $E$ , the assertion now follows from Proposition 2.2.  $\square$

Note that here the field  $E$  is a complete discrete valued field of characteristic 0 and finite residue field of characteristic  $p$ . Therefore it is a finite extension of  $\mathbb{Q}_p$ . For a detailed analysis of the Milnor  $K$ -ring of such fields we refer to [FV, Ch. IX].

The following theorem extends arguments of Pop, which are implicit in the proof of [P, Kor. 2.7]. In Theorem 7.4 below we use it in conjunction with Theorem 6.2 to compute the functor  $K_*^M(F)/S$  in another mixed characteristic situation.

**THEOREM 7.3:** *Let  $v$  be a valuation of rank 1 on a field  $F$  such that  $\text{char } F = 0$  and  $\text{char } \bar{F}_v = p$ . Suppose that  $F^\times/(F^\times)^p(1 + p\mathfrak{m}_v)$  is finite. Then either:*

- (a)  $v(F^\times)$  is discrete and  $\bar{F}_v$  is finite; or
- (b)  $v(F^\times)$  is  $p$ -divisible and  $\bar{F}_v$  is perfect.

*Proof:* Let  $S = (F^\times)^p(1 + p\mathfrak{m}_v)$ . We break the argument into five steps.

**PART I:**  $\bar{F}_v$  is perfect. Indeed,  $\bar{S}_v = (\bar{F}_v^\times)^p$ . By the exact sequences (5.2)–(5.3),  $\bar{F}_v^\times/(\bar{F}_v^\times)^p$  is finite. Since  $\text{char } \bar{F}_v = p$ , this quotient must be trivial [E3, Cor. 1.6], as desired.

PART II:  $S \cap G_v = G_v^p(1 + p\mathfrak{m}_v)$ . Consider the commutative diagram of exponentiations by  $p$ :

$$\begin{array}{ccccccccc} 1 & \rightarrow & G_v & \rightarrow & O_v^\times & \rightarrow & \bar{F}_v^\times & \rightarrow & 1 \\ & & p \downarrow & & p \downarrow & & p \downarrow & & \\ 1 & \rightarrow & G_v & \rightarrow & O_v^\times & \rightarrow & \bar{F}_v^\times & \rightarrow & 1 \end{array} .$$

Since  $\text{char } \bar{F}_v = p$ , the right vertical map is injective. By the snake lemma,  $(O_v^\times)^p \cap G_v = G_v^p$ . Hence also  $(F^\times)^p \cap G_v = G_v^p$ . Since  $1 + p\mathfrak{m}_v \leq G_v$  we obtain

$$S \cap G_v = ((F^\times)^p \cap G_v)(1 + p\mathfrak{m}_v) = G_v^p(1 + p\mathfrak{m}_v) \quad .$$

PART III:  $S \cap G_v \subseteq (1 - S)(1 + p\mathfrak{m}_v)$ . Recall that  $p \mid \binom{p}{i}$  for  $i = 1, \dots, p-1$ . Hence for every  $a \in \mathfrak{m}_v$  we have

$$(1 - a)^p \in 1 - a^p + p\mathfrak{m}_v = (1 - a^p)(1 + p\mathfrak{m}_v) \subseteq (1 - S)(1 + p\mathfrak{m}_v) \quad .$$

Thus  $G_v^p \subseteq (1 - S)(1 + p\mathfrak{m}_v)$ . Now use Part II.

PART IV:  $v(F^\times)$  is either discrete or  $p$ -divisible. In view of the structure of the ordered group  $\mathbb{R}$ , it suffices to find  $0 < \gamma \in v(F^\times)$  such that for every  $b \in F$  with  $0 < v(b) < \gamma$  one has  $v(b) \in pv(F^\times)$ . Since  $F^\times/S$  is finite, the sequences (5.2)–(5.3) imply that so is  $G_v/(S \cap G_v)$ . Hence we may take  $\gamma$  as in Lemma 6.1. By property (i) of  $W_\gamma$ , and since  $W_\gamma \leq G_v$  we have  $1 - b \in G_v = (SW_\gamma) \cap G_v = (S \cap G_v)W_\gamma$ . It therefore follows from part III and from property (ii) of  $W_\gamma$  that  $1 - b \in (1 - S)W_\gamma$ . So choose  $s \in S$  with  $1 - b \in (1 - s)W_\gamma$ . As  $W_\gamma \leq G_v$  we get  $1 - s \in G_v$ . Hence

$$v(b - s) = v\left(\frac{b - s}{1 - s}\right) = v\left(1 - \frac{1 - b}{1 - s}\right) \geq \gamma \quad .$$

Since  $v(b) < \gamma$ , necessarily  $v(b) = v(s) \in v(S) = pv(F^\times)$ , as desired.

PART V: When  $v(F^\times)$  is discrete,  $\bar{F}_v$  is finite. As we have observed, in this case  $G_v/(1 + \mathfrak{m}_v^2) = (1 + \mathfrak{m}_v)/(1 + \mathfrak{m}_v^2) \cong \bar{F}_v$ . Using again that  $p \mid \binom{p}{i}$  for  $1 \leq i \leq p-1$ , we get  $G_v^p(1 + p\mathfrak{m}_v) \leq 1 + \mathfrak{m}_v^2$ . In light of Part II, this gives rise to a group epimorphism  $G_v/(S \cap G_v) \rightarrow \bar{F}_v$ . We have already noted that  $G_v/(S \cap G_v)$  is finite. Conclude that so is  $\bar{F}_v$ .  $\square$

THEOREM 7.4: Let  $v$  be a valuation of rank 1 on a field  $F$  such that  $\text{char } F = 0$  and  $\text{char } \bar{F}_v = p$ . Let  $S = (F^\times)^q(1 + q^2\mathfrak{m}_v)$  and suppose that  $(F^\times : S) < \infty$ . Then one of the following holds:

- (a)  $v(F^\times)$  is discrete,  $\bar{F}_v$  is finite, and  $K_*^M(F)/S \cong K_*^M(E)/q$  for the completion  $E$  of  $F$  with respect to  $v$ ;
- (b)  $v(F^\times)$  is  $p$ -divisible and  $K_r^M(F)/S = 0$  for all  $r \geq 2$ .

*Proof:* We have  $v(S) = qv(F^\times)$  and  $\bar{S}_v = (\bar{F}_v^\times)^q$ . Since  $(F^\times)^q(1 + q^2\mathfrak{m}_v) \leq (F^\times)^p(1 + p\mathfrak{m}_v)$ , the finiteness assumption implies that  $(F^\times : (F^\times)^p(1 + p\mathfrak{m}_v)) < \infty$ . By Theorem 7.3, one of the following cases occurs:

CASE (I):  $v(F^\times)$  is discrete and  $\bar{F}_v$  is finite. Then we apply Proposition 7.2.

CASE (II):  $v(F^\times)$  is  $p$ -divisible and  $\bar{F}_v$  is perfect. Then  $v(S) = v(F^\times)$  and  $\bar{S}_v = \bar{F}_v^\times$ . The exact sequences (5.2)–(5.3) therefore show that  $F^\times = SG_v$ . Since  $S$  is  $\mathcal{T}_v$ -open in  $F$ , Theorem 6.2 implies that  $K_r^M(F)/S = 0$  for  $r \geq 2$ .  $\square$

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