

# TOROIDALIZATION OF GENERATING SEQUENCES IN DIMENSION TWO FUNCTION FIELDS

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ABSTRACT. Let  $\mathbf{k}$  be an algebraically closed field of characteristic 0, and let  $K^*/K$  be a finite extension of algebraic function fields of transcendence degree 2 over  $\mathbf{k}$ . Let  $\nu^*$  be a  $\mathbf{k}$ -valuation of  $K^*$  with valuation ring  $V^*$ , and let  $\nu$  be the restriction of  $\nu^*$  to  $K$ . Suppose that  $R \rightarrow S$  is an extension of algebraic regular local rings with quotient fields  $K$  and  $K^*$  respectively, such that  $V^*$  dominates  $S$  and  $S$  dominates  $R$ . We prove that there exist sequences of quadratic transforms  $R \rightarrow \bar{R}$  and  $S \rightarrow \bar{S}$  along  $\nu^*$  such that  $\bar{S}$  dominates  $\bar{R}$  and the map between generating sequences of  $\nu$  and  $\nu^*$  has a toroidal structure. Our result extends the Strong Monomialization theorem of Cutkosky and Piltant.

## 1. INTRODUCTION

Let  $\mathbf{k}$  be an algebraically closed field of characteristic 0, and let  $K$  be an algebraic function field over  $\mathbf{k}$ . Throughout this paper we say that a subring  $R$  of  $K$  is *algebraic* if  $R$  is essentially of finite type over  $\mathbf{k}$ . We will denote the maximal ideal of a local ring  $R$  by  $m_R$ .

Let  $K^*/K$  be a finite extension of algebraic function fields over  $\mathbf{k}$ . Let  $\nu^*$  be a  $\mathbf{k}$ -valuation of  $K^*$  with valuation ring  $V^*$  and value group  $\Gamma^*$ . Let  $\nu$  be the restriction of  $\nu^*$  to  $K$  with valuation ring  $V$  and value group  $\Gamma$ . Consider an extension of algebraic regular local rings  $R \rightarrow S$  where  $R$  has quotient field  $K$ ,  $S$  has quotient field  $K^*$ ,  $R$  is dominated by  $S$  and  $S$  is dominated by  $V^*$  (i.e.,  $m_V \cap R = m_R$  and  $m_{V^*} \cap S = m_S$ ).

It has been a classical topic to investigate finite extensions of rings of algebraic integers and mappings between algebraic curves. In these cases  $K$  and  $K^*$  are of transcendence degree 1 over  $\mathbf{k}$ , and the homomorphisms of local rings of points are ramified maps  $R \rightarrow S$  of discrete (rank 1) valuation rings. We have that  $R = V$  and  $S = V^*$  are local Dedekind domains. Suppose that  $(u) = m_R$  and  $(x) = m_S$  are the maximal ideals of  $R$  and  $S$ , respectively, then

$$u = x^e \delta \tag{1.1}$$

where  $\delta \in S$  is a unit. The corresponding value groups are  $\Gamma \cong \mathbb{Z}$  and  $\Gamma^* \cong \mathbb{Z}$  and we have a natural isomorphism  $\Gamma^*/\Gamma \cong \mathbb{Z}_e$ .

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The study of ramification theory, in general, for valuations in arbitrary fields was initiated by Krull and further pursued by many authors (cf. [5] and the literature cited there).

In this paper, we are interested in valuations of two dimensional algebraic function fields, i.e., the situation when  $K$  and  $K^*$  are of transcendence degree 2 over  $\mathbf{k}$ . Valuations in dimension two are completely described by a compact set of data called *generating sequence*. Generating sequences provide a very useful tool in the study of algebraic surfaces (cf. [2, 5, 8, 7, 10, 11]).

We shall briefly recall the definition of generating sequences, as in [10]. Let  $\Gamma_+ = \nu(R \setminus \{0\})$  be the semigroup of  $\Gamma$  consisting of the values of nonzero elements of  $R$ . For  $\gamma \in \Gamma_+$ , let  $I_\gamma = \{f \in R \mid \nu(f) \geq \gamma\}$ . A (possibly infinite) sequence  $\{Q_i\}$  of elements of  $R$  is a generating sequence of  $\nu$  if for every  $\gamma \in \Gamma_+$  the ideal  $I_\gamma$  is generated by the set

$$\left\{ \prod_i Q_i^{a_i} \mid a_i \in \mathbb{N}_0, \sum_i a_i \nu(Q_i) \geq \gamma \right\}.$$

A generating sequence of  $\nu$  is *minimal* if none of its proper subsequences is a generating sequence of  $\nu$ . If  $\{Q_i\}$  is a minimal generating sequence and  $\mathbf{k} = V/m_V$  then  $\{\nu(Q_i)\} \subset \Gamma$  forms a minimal set of generators for  $\Gamma_+$ .

Notice that a generating sequence  $\{Q_i\}$  in  $R$  and the values  $\{\nu(Q_i)\}$  completely describe the valuation  $\nu$  (see [10, Definition 1.1] and [5, Section 7.5] for more detailed discussions). A generating sequence of  $\nu^*$  in  $S$  can be defined similarly.

The aim of this paper is to find structure theorems for generating sequences of  $\nu$  and  $\nu^*$ . Our work is inspired by the Strong Monomialization theorem of Cutkosky and Piltant [5, Theorem 4.8], which we recall below.

We first need few definitions. Suppose that  $R$  is a local domain. A *monoidal* transform  $R \rightarrow R'$  is a birational extension of local domains such that  $R' = R\left[\frac{I}{x}\right]_m$  where  $I$  is a regular prime ideal of  $R$ ,  $0 \neq x \in I$  and  $m$  is a prime ideal of  $R\left[\frac{I}{x}\right]$  such that  $m \cap R = m_R$ . If  $I = m_R$  then the monoidal transform  $R \rightarrow R'$  is called a *quadratic* transform. In our situation (dimension two) since  $R$  is a regular local ring, any nontrivial monoidal transform  $R \rightarrow R'$  is a quadratic transform and there exists a regular system of parameters  $(u, v)$  of  $R$  such that  $R' = R\left[\frac{u}{v}\right]_m$ , where  $m$  is a maximal ideal of  $R\left[\frac{u}{v}\right]$ . We say that  $R \rightarrow R'$  is a monoidal transform *along*  $\nu$  if  $\nu$  dominates  $R'$ .

The celebrated Local Monomialization theorem of Cutkosky [3, Theorem 1.1] states that there exist sequences of monoidal transforms  $R \rightarrow R_1$  and  $S \rightarrow S_1$  along  $\nu^*$  such that  $\nu^*$  dominates  $S_1$ ,  $S_1$  dominates  $R_1$ , and there are regular parameters  $(u, v)$  in  $R_1$  and  $(x, y)$  in  $S_1$ , units  $\delta_1, \delta_2 \in S_1$  and a matrix  $A = (a_{ij})$  of nonnegative integers such that  $\det A \neq 0$  and

$$\begin{cases} u &= x^{a_{11}} y^{a_{12}} \delta_1 \\ v &= x^{a_{21}} y^{a_{22}} \delta_2. \end{cases} \quad (1.2)$$

The existence of  $R_1$  and  $S_1$  such that (1.2) holds follows directly from the standard theorems on resolution of singularities, but in general we will not have the essential condition that  $\det A \neq 0$ . The difficulty in Cutkosky's work is to achieve the condition  $\det A \neq 0$  (we should note that Cutkosky's Local Monomialization theorem is valid in arbitrary dimension).

In our situation, under the additional assumption that  $\Gamma^*$  is a non-discrete subgroup of  $\mathbb{Q}$  (which is the essential and subtle case), the Strong Monomialization theorem of Cutkosky and Piltant [5, Theorem 4.8] further assures that  $A$  can be taken to have the following special form

$$A = \begin{pmatrix} t & 0 \\ 0 & 1 \end{pmatrix}. \quad (1.3)$$

Strong Monomialization is an important and useful result. It shows that no matter how complicated the structure of the extension  $R \subset S$  is, after blowing-up we obtain a simultaneous resolution, that is, an extension  $R_1 \subset S_1$  of regular local rings such that  $S_1$  is the localization of the integral closure of  $R_1$  in  $K^*$ , and this extension is very nice and simple, since it is monomial.

Observe that  $u, v \in R_1$  (resp.  $x, y \in S_1$ ) are the first two members of a generating sequence of  $\nu$  (resp.  $\nu^*$ ). Therefore, (1.3) exhibits a toroidal structure of the first two elements of such generating sequences.

The definition of toroidal structures of generating sequences of  $\nu$  and  $\nu^*$  is given in Section 2. The goal of our work is to investigate toroidal structures of generating sequences of  $\nu$  and  $\nu^*$ . Our main theorem is stated as follows.

**Theorem 1.1** (Theorem 8.1). *Let  $\mathbf{k}$  be an algebraically closed field of characteristic 0, and let  $K^*/K$  be a finite extension of algebraic function fields of transcendence degree 2 over  $\mathbf{k}$ . Let  $\nu^*$  be a  $\mathbf{k}$ -valuation of  $K^*$  with valuation ring  $V^*$ , and let  $\nu$  be the restriction of  $\nu^*$  to  $K$ . Suppose that  $R \rightarrow S$  is an extension of algebraic regular local rings with quotient fields  $K$  and  $K^*$  respectively, such that  $V^*$  dominates  $S$  and  $S$  dominates  $R$ . Then there exist sequences of quadratic transforms  $R \rightarrow \bar{R}$  and  $S \rightarrow \bar{S}$  along  $\nu^*$  such that  $\bar{S}$  dominates  $\bar{R}$  and the map between generating sequences of  $\nu$  and  $\nu^*$  in  $\bar{R}$  and  $\bar{S}$  respectively, has a toroidal structure.*

To prove this theorem, we consider different cases according to Zariski's classification of valuations in two dimensional function fields over an algebraically closed field of characteristic 0 [12]. In most cases, the result follows from a standard application of the Strong Monomialization theorem of Cutkosky and Piltant. These cases are analyzed in Section 3. The bulk of the paper is devoted to the essential case, when  $\Gamma^*$  is a non-discrete subgroup of  $\mathbb{Q}$ . We shall now briefly describe the main steps of the proof in this case.

Let  $(x, y)$  be a regular system of parameters in  $S$ . We begin in Section 4 by constructing a sequence of *jumping polynomials*  $\{T_i\}_{i \geq 0}$  in  $S$  with  $T_0 = x$  and  $T_1 = y$ , which contains as a subsequence a minimal generating sequence of  $\nu^*$ . Our notion of jumping polynomials is very similar to Favre and Jonsson's notion of *key polynomials*

[7]. The idea of key polynomials is originally due to MacLane [9]. By normalizing, we may assume that  $\nu^*(x) = 1$ . Let  $\nu^*(y) = \frac{p_1}{q_1}$ , where  $p_1$  and  $q_1$  are coprime positive integers. For each  $i \geq 1$ , we define  $T_{i+1}$  recursively. Let  $p_{i+1}$  and  $q_{i+1}$  be coprime positive integers defined by

$$\nu^*(T_{i+1}) = q_i \nu^*(T_i) + \frac{1}{q_1 \cdots q_i} \cdot \frac{p_{i+1}}{q_{i+1}}.$$

Our proof of Theorem 1.1 proceeds in the following line of arguments.

- (1) We observe that the collection of jumping polynomials  $\{T_i\}_{i \geq 0}$  forms a generating sequence of  $\nu^*$  in  $S$  (Theorem 4.6).
- (2) Let  $d = d(R, S)$  be the greatest common divisor of  $\{p_i \mid i \geq 1\}$ . We show that the powers of  $x$  that appear in  $T_i$  are multiple of  $d$  for all  $i \geq 2$  (Corollary 7.2). In other words  $T_i$ , for  $i \geq 2$ , is a polynomial in  $x^d$  and  $y$ .
- (3) Let us denote by  $t(R, S)$  the power  $t$  in (1.3) obtained from the Strong Monomialization theorem. For simplicity, assume that the constant  $\delta_1$  of (1.2) is equal to 1. We observe that if  $t(R, S)$  divides  $d$  then  $T_i$ , for  $i \geq 2$ , is a polynomial in  $u$  and  $v$ . This shows that  $u$ , together with the collection  $\{T_i\}_{i \geq 1}$ , form a generating sequence of  $\nu$  in  $R$ . Therefore we obtain the desired toroidal structure.
- (4) The core of our argument is to show that if  $t(R, S)$  does not divide  $d(R, S)$  then we can find sequences of quadratic transforms  $R \rightarrow R'$  and  $S \rightarrow S'$  so that  $t(R', S') < t(R, S)$ . More precisely, let  $M = \min\{i > 0 \mid t \nmid p_i\}$ . Then  $t(R', S')$  is the greatest common divisor of  $t$  and  $p_M$ . Lemma 8.2 is crucial in the proof of this step.

Finally, starting with a sequence of jumping polynomials in  $S'$  and repeating the above process, after a finite number of iterations we end up with the situation where  $t(R', S')$  divides  $d(R', S')$ . Then we conclude as in (3).

We remark that in order to make our arguments work we need a very explicit description of the quadratic transforms that we perform. There are several preparatory lemmas to this end.

## 2. STATEMENT OF THE RESULT

Let  $\mathbf{k}$  be an algebraically closed field of characteristic 0 and let  $K^*/K$  be a finite extension of algebraic function fields of transcendence degree 2 over  $\mathbf{k}$ . Let  $\nu^*$  be a  $\mathbf{k}$ -valuation of  $K^*$  with valuation ring  $V^*$  and value group  $\Gamma^*$  and let  $\nu$  be the restriction of  $\nu^*$  to  $K$  with valuation ring  $V$  and value group  $\Gamma$ .

Suppose that  $S$  is an algebraic regular local ring with quotient field  $K^*$  which is dominated by  $V^*$  and  $R$  is an algebraic regular local ring with quotient field  $K$  which is dominated by  $S$ . We will show that there exist sequences of quadratic transforms  $R \rightarrow R'$  and  $S \rightarrow S'$  along  $\nu^*$  such that  $S'$  dominates  $R'$  and the map between generating sequences of  $S'$  and  $R'$  has the following toroidal structure.

- (1) If  $\nu^*$  is divisorial then  $R' = V$  and  $S' = V^*$  with regular parameters  $u \in R'$  and  $x \in S'$  such that  $u = x^a \gamma$  for some unit  $\gamma \in S'$ . We also have that  $\{x\}$  is a minimal generating sequence of  $\nu^*$  and  $\{u\}$  is a minimal generating sequence of  $\nu$ .
- (2) If  $\nu^*$  has rank 2 then there exist regular parameters  $(x, y)$  in  $S'$  and  $(u, v)$  in  $R'$  such that  $\{x, y\}$  is a minimal generating sequence of  $\nu^*$ ,  $\{u, v\}$  is a minimal generating sequence of  $\nu$  and

$$\begin{aligned} u &= x^a y^b \delta \\ v &= y^d \gamma \end{aligned}$$

for some units  $\delta, \gamma \in S'$ , and for some nonnegative integers  $a, b, d$  such that  $ad \neq 0$ .

- (3) If  $\nu^*$  has rank 1 and rational rank 2 then there exist regular parameters  $(x, y)$  in  $S'$  and  $(u, v)$  in  $R'$  such that  $\{x, y\}$  is a minimal generating sequence of  $\nu^*$ ,  $\{u, v\}$  is a minimal generating sequence of  $\nu$  and

$$\begin{aligned} u &= x^a y^b \delta \\ v &= x^c y^d \gamma \end{aligned}$$

for some units  $\delta, \gamma \in S'$ , and for some nonnegative integers  $a, b, c, d$  such that  $ad - bc \neq 0$ .

- (4) If  $\Gamma$  and  $\Gamma^*$  are non-discrete subgroups of  $\mathbb{Q}$  then there exist a minimal generating sequence  $\{H_i\}_{i \geq 0}$  of  $\nu^*$  in  $S'$  and regular parameters  $(u, v)$  in  $R'$  such that

$$\begin{aligned} u &= H_0^a \gamma \\ v &= H_1 \end{aligned}$$

for some unit  $\gamma \in S'$ , and  $H_i \in R'$  for all  $i > 1$ . Furthermore,  $\{u, \{H_i\}_{i > 0}\}$  is a generating sequence of  $\nu$  in  $R'$ .

- (5) If  $\nu$  is discrete but not divisorial then there exist regular parameters  $(x, y)$  in  $S'$  and  $(u, v)$  in  $R'$  such that  $\Gamma^*$  is generated by  $\nu^*(x)$ ,  $\Gamma$  is generated by  $\nu(u)$  and  $u = x^a \gamma$  for some unit  $\gamma \in S'$ . Moreover,  $S'$  has a non-minimal generating sequence  $\{x, \{T_i\}_{i > 0}\}$  such that  $\{u, \{T_i\}_{i > 0}\}$  form a non-minimal generating sequence in  $R'$ .

### 3. VALUATIONS IN 2 DIMENSIONAL FUNCTION FIELDS

Zariski in [12] gave a classification of valuations in two dimensional function fields over an algebraically closed field of characteristic zero. We refer to [6] (Chapter 8, Section 1) for a modern treatment of the subject and for the definitions and background needed in this section.

We will prove our main theorem by analyzing the different types of valuations of  $K^*$ . Notations are as in Section 2.

**3.1. One dimensional valuations.** By definition,  $\nu^*$  is divisorial. In this case  $\nu$  and  $\nu^*$  are discrete, and  $V$  and  $V^*$  are iterated quadratic transforms of  $R$  and  $S$  respectively (see [1, Proposition 4.4]).

Let  $u$  be a regular parameter of  $V$  and let  $x$  be a regular parameter of  $V^*$ . Then there is a relation

$$u = x^a \gamma$$

where  $\gamma \in V^*$  is a unit, and  $a \geq 1$ . Since  $\{u\}$  is a minimal generating sequence for  $V$ , and  $\{x\}$  is a minimal generating sequence for  $V^*$  the theorem is proved.

**3.2. Zero dimensional valuations of rational rank 2.** By Strong Monomialization [5, Theorem 4.8] there exist sequences of quadratic transforms  $R \rightarrow R'$  and  $S \rightarrow S'$  along  $\nu^*$  such that  $R'$  has regular parameters  $(u, v)$ ,  $S'$  has regular parameters  $(x, y)$ , and

$$\begin{aligned} u &= x^a y^b \delta \\ v &= x^c y^d \gamma \end{aligned}$$

for some units  $\delta, \gamma \in S'$  and for some nonnegative integers  $a, b, c, d$  such that  $ad - bc \neq 0$ . Further,  $c = 0$  if  $\nu^*$  has rank two. We also have that  $\{\nu^*(x), \nu^*(y)\}$  is a rational basis of  $\Gamma^* \otimes \mathbb{Q}$ , and  $\{\nu(u), \nu(v)\}$  is a rational basis of  $\Gamma \otimes \mathbb{Q}$ .

Let  $z \in S'$ . Then  $z \in \hat{S}' = S'/m_{S'}[[x, y]] = \mathbf{k}[[x, y]]$ , since  $\nu^*$  is zero dimensional and  $\mathbf{k}$  is algebraically closed. Observe that  $z$  has an expansion  $z = \sum_{i \geq 1} a_i x^{b_i} y^{c_i}$ , where  $a_i \in \mathbf{k}$ ,  $b_i$  and  $c_i$  are non negative integers, and the terms have increasing value, since  $\nu^*(x)$  and  $\nu^*(y)$  are rationally independent. It follows that  $\nu^*(z) = b_1 \nu^*(x) + c_1 \nu^*(y)$ . Hence  $\{x, y\}$  is a minimal generating sequence of  $\nu^*$  in  $S'$ , and similarly  $\{u, v\}$  is a minimal generating sequence of  $\nu$  in  $R'$ , and the theorem is proved.

The rest of the paper will be devoted to studying the remaining cases, that is zero dimensional valuations of rational rank 1.

**3.3. Non-discrete zero dimensional valuations of rational rank 1.** We can normalize  $\Gamma^*$  so that it is an ordered subgroup of  $\mathbb{Q}$ , whose denominators are not bounded, as  $\Gamma^*$  is not discrete. In Example 3, Section 15, Chapter VI of [13], examples are given of two-dimensional algebraic function fields with value group equal to any given subgroup of the rational numbers. This case is much more subtle.

**3.4. Discrete zero dimensional valuations of rational rank 1.** If  $\nu^*$  is discrete, then  $\nu$  is also discrete. This case will be handled in the same way as the case of non-discrete zero dimensional valuations of rational rank 1, but the generating sequences of  $\nu^*$  and  $\nu$  will not be minimal.

## 4. CONSTRUCTION OF JUMPING POLYNOMIALS

From now on we work under the assumption that the value group of  $\nu^*$  is a subgroup of  $\mathbb{Q}$  and  $\text{trdeg}_{\mathbf{k}}(V^*/m_{V^*}) = 0$ . Let  $(x, y)$  be a system of regular parameters in  $S$ . We normalize the value group  $\Gamma^*$  of  $K^*$  so that  $\nu^*(x) = 1$ .

We shall now construct a sequence of polynomials  $\{T_i\}_{i \geq 0}$  in  $S$ . Let

$$\begin{cases} T_0 &= x \\ T_1 &= y. \end{cases}$$

Set  $q_0 = \infty$  and choose a pair of coprime positive integers  $(p_1, q_1)$  so that  $\nu^*(y) = \frac{p_1}{q_1}$ . For  $i \geq 1$ ,  $T_{i+1}$  is defined recursively as follows. Let

$$T_{i+1} = T_i^{q_i} - \lambda_i \prod_{j=0}^{i-1} T_j^{n_{i,j}},$$

where  $n_{i,j} < q_j$  is a nonnegative integer such that  $q_i \nu^*(T_i) = \nu^*(\prod_{j=0}^{i-1} T_j^{n_{i,j}})$ , that is  $q_i \nu^*(T_i) = \sum_{j=0}^{i-1} n_{i,j} \nu^*(T_j)$ , and  $\lambda_i \in \mathbf{k}$  is the residue of  $\frac{T_i^{q_i}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}}$ .

Finally, choose positive integers  $p_{i+1}$  and  $q_{i+1}$  so that  $(p_{i+1}, q_{i+1}) = 1$  and

$$\nu^*(T_{i+1}) = q_i \nu^*(T_i) + \frac{1}{q_1 \cdots q_i} \cdot \frac{p_{i+1}}{q_{i+1}}.$$

**Definition 4.1.** The polynomial  $T_i$  will be called the  $i$ -th *jumping polynomial* and the value  $\nu^*(T_i)$  will be called the  $i$ -th *j-value*. We will denote the  $i$ -th j-value by  $\beta_i$ . We say that  $\beta_i$  is an *independent j-value* if  $q_i \neq 1$ .

**Remark 4.2.** For  $i > 0$  let  $Q_i = q_1 \cdots q_i$ . Observe that  $Q_i \beta_i$  is an integer number,  $\beta_{i+1} = q_i \beta_i + \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}$  and  $q_{i+1} \beta_{i+1} \geq \beta_{i+1} > q_i \beta_i$ .

Consider the subsequence  $\{\beta_{i_l}\}_{l \geq 0}$  of all independent j-values. Let  $\bar{\beta}_l = \beta_{i_l}$  denote the  $l$ -th independent j-value,  $\bar{q}_l = q_{i_l}$  and  $H_l = T_{i_l}$ . Since

$$T_{i+1} = T_i^{q_i} - \lambda_i \prod_{j=0}^{i-1} T_j^{n_{i,j}}, \text{ where } 0 \leq n_{i,j} < q_j,$$

it follows that  $n_{i,j} = 0$  whenever  $q_j = 1$ . Therefore only the  $H_l$ 's with  $i_l < i$  will appear in the product  $\prod_{j=0}^{i-1} T_j^{n_{i,j}}$ . Thus, if  $0 < i = i_l$  then

$$T_{i+1} = H_l^{\bar{q}_l} - \lambda_i \prod_{j=0}^{l-1} H_j^{n_{i,j}}.$$

If  $i + 1 < i_{l+1}$  then  $q_{i+1} = 1$  and

$$T_{i+2} = T_{i+1} - \lambda_{i+1} \prod_{j=0}^l H_j^{n_{i+1, i_j}} = H_l^{\bar{q}_l} - \lambda_i \prod_{j=0}^{l-1} H_j^{n_{i, i_j}} - \lambda_{i+1} \prod_{j=0}^l H_j^{n_{i+1, i_j}}.$$

In general, the recursive formula for  $H_{l+1}$  with  $l > 0$  will be

$$\begin{aligned} H_{l+1} &= H_l^{\bar{q}_l} - \lambda_{i_l} \prod_{j=0}^{l-1} H_j^{n_{i_l, i_j}} - \lambda_{i_{l+1}} \prod_{j=0}^l H_j^{n_{i_{l+1}, i_j}} - \lambda_{i_{l+2}} \prod_{j=0}^l H_j^{n_{i_{l+2}, i_j}} - \dots \\ &\dots - \lambda_{i_{l+1-1}} \prod_{j=0}^l H_j^{n_{i_{l+1-1}, i_j}} = H_l^{\bar{q}_l} - \lambda_{i_l} \prod_{j=0}^{l-1} H_j^{n_{i_l, i_j}} - \sum_{i'=i_{l+1}}^{i_{l+1-1}} \lambda_{i'} \prod_{j=0}^l H_j^{n_{i', i_j}}. \end{aligned}$$

We also notice that the sequence of independent jumping polynomials  $\{H_l\}_{l \geq 0}$  starts with  $H_0 = x$  and  $H_1 = y - \sum_{j=1}^{i_1-1} \lambda_j x^{\beta_j}$ .

Independent  $j$ -values furthermore have a number of basic properties. If  $l > 0$  and  $i_l \leq i < i_{l+1}$  then  $q_1 \cdots q_i = \bar{q}_1 \cdots \bar{q}_l$  and the following equalities hold

$$\begin{aligned} \bar{\beta}_0 &= \beta_0 = 1, \quad \bar{q}_0 = q_0 = \infty, \\ \bar{\beta}_1 &= p_1 + \cdots + p_{i_1-1} + \frac{p_{i_1}}{q_{i_1}}, \\ \bar{\beta}_{l+1} &= \bar{q}_l \bar{\beta}_l + \frac{p_{i_{l+1}} + p_{i_{l+2}} + \cdots + p_{i_{l+1-1}}}{\bar{q}_1 \cdots \bar{q}_l} + \frac{1}{\bar{q}_1 \cdots \bar{q}_l} \cdot \frac{p_{i_{l+1}}}{\bar{q}_{l+1}}. \end{aligned}$$

**Remark 4.3.** For all  $l > 0$  denote by  $\bar{Q}_l = \bar{q}_1 \cdots \bar{q}_l$  and  $\bar{p}_l = (p_{i_{l-1}+1} + \cdots + p_{i_{l-1}}) \bar{q}_l + p_{i_l}$ . Then  $(\bar{p}_l, \bar{q}_l) = (p_{i_l}, q_{i_l}) = 1$ ,  $\bar{\beta}_1 = \frac{\bar{p}_1}{\bar{q}_1}$  and  $\bar{\beta}_{l+1} = \bar{q}_l \bar{\beta}_l + \frac{1}{\bar{Q}_l} \cdot \frac{\bar{p}_{l+1}}{\bar{q}_{l+1}}$ . In particular,  $\bar{q}_{l+1} \bar{\beta}_{l+1} > \bar{\beta}_{l+1} > \bar{q}_l \bar{\beta}_l$ .

**Remark 4.4.** In general, if  $(x, y)$  is a system of regular parameters in  $S$  we may not necessarily have  $\nu^*(x) = 1$ . Then in order to define a sequence of jumping polynomials  $\{T_i\}_{i \geq 0}$  such that  $T_0 = x$  and  $T_1 = y$ , we introduce the following valuation  $\tilde{\nu}$  of  $K^*$

$$\tilde{\nu}(f) = \frac{\nu^*(f)}{\nu^*(x)}$$

for all  $f \in K^*$ . Then  $\tilde{\nu}(x) = 1$  and we use the construction above with  $\nu^*$  replaced by  $\tilde{\nu}$ . This procedure is equivalent to normalizing the value group  $\Gamma^*$  so that  $\nu^*(x) = 1$ .

We will see in Section 5 that the sequence of jumping polynomials  $\{T_i\}_{i \geq 0}$  in  $S$  is well defined (Corollary 5.10). The next goal is to show that it forms a generating sequence of  $\nu^*$ .

**4.1. Discrete case.** We suppose that the value group of  $\nu^*$  is isomorphic to  $\mathbb{Z}$ . After performing a sequence of quadratic transforms along  $\nu^*$  and normalizing  $\Gamma^*$  we may suppose that  $S$  has a system of regular parameters  $(x, y)$  such that  $\nu^*(x) = 1$  generates  $\Gamma^*$ . Then by [10] (p.154) we have that a set  $\{Q_i\}_{i \geq 0} \subset S$  is a generating sequence of



$\nu^*$  provided  $\nu^*(Q_0) = 1$ , each  $Q_i$  is a regular parameter of  $S$  such that  $(Q_0, Q_i)$  form a system of regular parameters, and  $\lim_{i \rightarrow \infty} \nu^*(Q_i) = \infty$ .

In particular, there are no minimal generating sequences in  $S$ . Any infinite subsequence of a generating sequence which contains  $Q_0$  is a generating sequence itself.

**Theorem 4.5.** *The above  $\{T_i\}_{i \geq 0} \subset S$  form a generating sequence of  $\nu^*$ .*

*Proof.* Since  $\nu^*(x)$  generates  $\Gamma^*$  we see that  $q_i = 1$  for all  $i \geq 1$ . Thus  $T_1 = y$  and  $T_{i+1} = y - \lambda_1 x^{n_{1,0}} - \lambda_2 x^{n_{2,0}} - \dots - \lambda_i x^{n_{i,0}}$  are linear in  $y$  for all  $i \geq 1$ . In particular  $T_i$  is a regular parameter of  $S$  and  $(x, T_i)$  form a system of regular parameters in  $S$ . Notice also that  $\beta_i = \nu^*(T_i) \in \mathbb{Z}$  and  $\beta_{i+1} > \beta_i$ . This implies that  $\lim_{i \rightarrow \infty} \nu^*(T_i) = \infty$ .  $\square$

**4.2. Non-discrete case.** We assume now that the value group of  $\nu^*$  is a non-discrete subgroup of  $\mathbb{Q}$ .

**Theorem 4.6.** *With notations as above,  $\{T_i\}_{i \geq 0} \subset S$  form a generating sequence of  $\nu^*$ . Furthermore, if  $p_1 > 1$  then  $\{H_l\}_{l \geq 0} \subset S$  form a minimal generating sequence of  $\nu^*$ . If  $p_1 = 1$  then  $\{H_l\}_{l \geq 1} \subset S$  form a minimal generating sequence of  $\nu^*$ .*

*Proof.* This is shown in [10], in Chapter 2 of [7], and in [2].  $\square$

We will give an alternative proof of the above theorem in Section 7.1. Namely, we will show that the set  $\{H_l\}_{l \geq 0} \subset S$  satisfies the sufficient condition for a sequence of elements of  $S$  to be a generating sequence of  $\nu^*$  given in [5].

**Remark 4.7.** The two preceding theorems imply that the values of jumping polynomials  $\{\nu^*(T_i)\}_{i \geq 0}$  generate the value group  $\Gamma^*$ .

## 5. ARITHMETICS

In this section we prove several properties of the numbers  $q_i$  and  $\beta_i$  defined in Section 4.

**Definition 5.1.** Given two rational numbers  $a$  and  $b$  we say that  $a$  is  $\mathbb{Z}$ -divisible by  $b$ , or equivalently  $b$   $\mathbb{Z}$ -divides  $a$ , and write  $b|a$ , if  $a$  is an integer multiple of  $b$ , that is  $a \in b\mathbb{Z}$  or  $a = nb$  for some  $n \in \mathbb{Z}$ . The *greatest common divisor* of  $a$  and  $b$ , denoted by  $(a, b)$ , is as usual the greatest rational number  $g$  such that  $g|a$  and  $g|b$ .

**Proposition 5.2.** *Let  $p, q, t$  be nonzero integers with  $(p, q) = 1$ . Then  $(\frac{1}{t}, \frac{p}{tq}) = \frac{1}{tq}$ .*

*Proof.* If  $g = (\frac{1}{t}, \frac{p}{tq})$  then  $\frac{1}{tq}|g$ . On the other hand

$$\frac{1}{tq} = \frac{1}{tq}(\alpha p + \beta q) = \alpha \frac{p}{tq} + \beta \frac{1}{t}$$

for some integers  $\alpha$  and  $\beta$  since  $1 = (p, q)$ . Thus  $g| \frac{1}{tq}$ .  $\square$

**Proposition 5.3.** *For  $k \geq 1$ , we have  $(\beta_0, \beta_1, \dots, \beta_k) = \frac{1}{Q_k}$ .*

*Proof.* We use induction on  $k$ . For  $k = 1$ , clearly  $(\beta_0, \beta_1) = (1, \frac{p_1}{q_1}) = \frac{1}{q_1}$ . Assume now that  $(\beta_0, \beta_1, \dots, \beta_{k-1}) = \frac{1}{Q_{k-1}}$ . Then

$$(\beta_0, \beta_1, \dots, \beta_k) = ((\beta_0, \beta_1, \dots, \beta_{k-1}), \beta_k - q_{k-1}\beta_{k-1}) = \left(\frac{1}{Q_{k-1}}, \frac{p_k}{Q_{k-1}q_k}\right) = \frac{1}{Q_k}.$$

□

**Corollary 5.4.** *For  $k \geq 0$ , let  $\Gamma_k = \langle \beta_0, \beta_1, \dots, \beta_k \rangle$ . Then,  $\Gamma_k = \frac{1}{Q_k}\mathbb{Z}$  for all  $k \geq 1$ . (That is, the group generated by the values of the first  $k + 1$  jumping polynomials is isomorphic to  $\frac{1}{Q_k}\mathbb{Z}$ .)*

**Proposition 5.5.** *For  $k \geq 1$ , we have  $q_k\beta_k \in \Gamma_{k-1}$ . Moreover, if  $q_k > 1$  then  $q_k\beta_k$  has order  $q_k$  in  $\frac{\Gamma_{k-1}}{q_k\Gamma_{k-1}}$ .*

*Proof.* We have  $q_k\beta_k = q_kq_{k-1}\beta_{k-1} + p_k\frac{1}{Q_{k-1}}$  is  $\mathbb{Z}$ -divisible by  $\frac{1}{Q_{k-1}}$ . Thus,  $q_k\beta_k \in \Gamma_{k-1}$ .

Moreover, if  $q_k > 1$  then  $G = \frac{\Gamma_{k-1}}{q_k\Gamma_{k-1}} \cong \mathbb{Z}_{q_k}$  is not trivial and  $\text{ord}_G(q_k\beta_k) = \text{ord}_G(p_k\frac{1}{Q_{k-1}}) = \text{ord}_{\mathbb{Z}_{q_k}}(p_k) = q_k$  since  $(p_k, q_k) = 1$ . □

**Corollary 5.6.** *For  $k \geq 1$ , we have  $(\bar{\beta}_0, \bar{\beta}_1, \dots, \bar{\beta}_k) = \frac{1}{Q_k}$  and  $\bar{\Gamma}_k = \langle \bar{\beta}_0, \bar{\beta}_1, \dots, \bar{\beta}_k \rangle = \frac{1}{Q_k}\mathbb{Z}$ . Also,  $\bar{q}_k\bar{\beta}_k \in \bar{\Gamma}_{k-1}$  and  $\bar{q}_k\bar{\beta}_k$  has order  $\bar{q}_k$  in  $\frac{\bar{\Gamma}_{k-1}}{\bar{q}_k\bar{\Gamma}_{k-1}}$ .*

**Remark 5.7.** With notations as above, we have  $\bar{\Gamma}_k = \Gamma_{i_k}$  and  $\bigcup_{k \geq 0} \bar{\Gamma}_k = \bigcup_{k \geq 0} \Gamma_k$ .

**Proposition 5.8.** *If  $x \in \Gamma_k$  and  $x \geq q_k\beta_k$  then there exists a unique representation*

$$x = \sum_{j=0}^k a_j\beta_j \tag{5.1}$$

*with integer coefficients  $0 \leq a_j < q_j$ .*

*Proof.* We first show existence of the presentation (5.1). We use induction on  $k$ . The claim is trivial for  $k = 0$ . Let  $x \in \Gamma_1$  and  $x \geq p_1$ , that is  $x = y + \frac{p}{q_1}$  for some  $p, y \in \mathbb{Z}$  such that  $y \geq p_1$  and  $0 \leq p < q_1$ . Since  $(p_1, q_1) = 1$  there exists an integer  $0 \leq a_1 < q_1$  such that  $a_1p_1 = p + tq_1$  for some  $t \in \mathbb{Z}$ . Notice that  $a_1p_1 < q_1p_1$  and therefore  $t < p_1$ . So  $x = (y - t)\beta_0 + a_1\beta_1$  is the required presentation.

Now assume that  $k \geq 2$  and that a presentation (5.1) exists for  $k - 1$ . Let  $x \in \Gamma_k$  and  $x \geq q_k\beta_k$ , then  $x = \frac{y}{Q_{k-1}} + \frac{p}{Q_{k-1}q_k}$  for some  $p, y \in \mathbb{Z}$  such that  $0 \leq p < q_k$ . Since  $(p_k, q_k) = 1$  there exists an integer  $0 \leq a_k < q_k$  such that  $a_kp_k = p + tq_k$  for some  $t \in \mathbb{Z}$ . Then  $x = \frac{y}{Q_{k-1}} + a_k(\beta_k - q_{k-1}\beta_{k-1}) - \frac{t}{Q_{k-1}}$ , so that  $x - a_k\beta_k = \frac{y-t}{Q_{k-1}} - a_kq_{k-1}\beta_{k-1} \in \Gamma_{k-1}$  and  $x - a_k\beta_k \geq (q_k - a_k)\beta_k \geq \beta_k > q_{k-1}\beta_{k-1}$ . Thus, by the inductive assumption we have that  $x - a_k\beta_k = \sum_{j=0}^{k-1} a_j\beta_j$  with integer coefficients  $0 \leq a_j < q_j$ .

To prove uniqueness of the presentation (5.1) it suffices to show that if  $\sum_{j=0}^k c_j\beta_j = 0$  for some integer coefficients  $-q_j < c_j < q_j$  then  $c_j = 0$  for all  $j$ . We again use induction on  $k$ . The claim is trivial for  $k = 0$ . Assume that the claim is true for

$k-1$  and suppose that  $\sum_{j=0}^k c_j \beta_j = 0$  for some integer coefficients  $-q_j < c_j < q_j$ . We only need to show that  $c_k = 0$ . Since  $c_k \beta_k = -\sum_{j=0}^{k-1} c_j \beta_j$ ,  $c_k \beta_k \in \Gamma_{k-1}$  and therefore  $c_k \frac{p_k}{Q_{k-1} q_k} \in \Gamma_{k-1}$ . Thus  $\frac{c_k p_k}{Q_{k-1} q_k}$  is  $\mathbb{Z}$ -divisible by  $\frac{1}{Q_{k-1}}$ , that is  $q_k | c_k p_k$ . This implies that  $q_k | c_k$ , since  $(p_k, q_k) = 1$ , and therefore  $c_k = 0$  due to the inequality  $-q_k < c_k < q_k$ .  $\square$

**Corollary 5.9.** *For all  $k > 0$  there exists a unique representation  $q_k \beta_k = \sum_{j=0}^{k-1} n_{k,j} \beta_j$  with integer coefficients  $0 \leq n_{k,j} < q_j$ .*

*Proof.* The statement is clear for  $k = 1$ , since  $q_1 \beta_1 = p_1 \beta_0$ . For  $k > 1$  the conclusion follows from Proposition 5.8, since  $q_k \beta_k \in \Gamma_{k-1}$  and  $q_k \beta_k > q_{k-1} \beta_{k-1}$ .  $\square$

**Corollary 5.10.** *In the notations of Section 4, the sequence of jumping polynomials  $\{T_i\}_{i \geq 0}$  in  $S$  is well-defined.*

*Proof.* The statement follows immediately from Corollary 5.9 and the assumption that  $V^*/m_{V^*} = \mathbf{k}$ .  $\square$

We now recall some well-known facts about continued fractions. Let  $p$  and  $q$  be positive integers such that  $(p, q) = 1$ . Consider the Euclidian algorithm for finding the greatest common divisor of  $p$  and  $q$ :

$$\begin{aligned} r_0 &= f_1 r_1 + r_2 \\ r_1 &= f_2 r_2 + r_3 \\ &\dots \\ r_{N-2} &= f_{N-1} r_{N-1} + 1 \\ r_{N-1} &= f_N \cdot 1, \end{aligned}$$

where  $r_0 = p$ ,  $r_1 = q$  and  $r_1 > r_2 > \dots > r_{N-1} > r_N = 1$ . Denote by  $N = N(p, q)$  the number of divisions in the Euclidian algorithm for  $p$  and  $q$  and by  $f_1, f_2, \dots, f_N$  the coefficients in the Euclidian algorithm for  $p$  and  $q$ . Define  $F_i = f_1 + \dots + f_i$  and  $\epsilon(p, q) = f_1 + \dots + f_N = F_N$ ,  $f_1(p, q) = f_1 = \left[ \frac{p}{q} \right]$ . Let  $a$  and  $b$  be integers such that  $0 < a \leq p$ ,  $0 \leq b < q$ , and  $aq - bp = 1$ .

**Remark 5.11.** With notations as above,  $\frac{p}{q} = f_1 + \frac{1}{f_2 + \dots + \frac{1}{f_N}}$ .

Let  $\{P_k(z_1, \dots, z_k)\}_{k \in \mathbb{N}_0}$  be a sequence of polynomials as in [10]. So  $P_k(z_1, \dots, z_k) \in \mathbb{N}_0[z_1, \dots, z_k]$  is a polynomial in  $k$  variables with nonnegative integer coefficients such that for any set of numbers  $c_1, \dots, c_K$  we have

$$c_1 + \frac{1}{c_2 + \dots + \frac{1}{c_K}} = \frac{P_K(c_1, \dots, c_K)}{P_{K-1}(c_2, \dots, c_K)}.$$

We also assume that  $P_0 = 1$  and set  $P_{-1} = 0$ .

Then it follows from properties (1.2)-(1.6) in [10] that

$$\begin{aligned} p &= P_N(f_1, \dots, f_N), \\ q &= P_{N-1}(f_2, \dots, f_N), \\ a &= P_{N-1}(f_1, \dots, f_{N-1}), \quad b = P_{N-2}(f_2, \dots, f_{N-1}), \quad \text{if } N \text{ is odd,} \\ a &= p - P_{N-1}(f_1, \dots, f_{N-1}), \quad b = q - P_{N-2}(f_2, \dots, f_{N-1}), \quad \text{if } N \text{ is even.} \end{aligned}$$

We also recall property (1.5) from [10] here since it will be used in the sequel

$$\begin{aligned} P_k(f_1, \dots, f_k) &= f_k P_{k-1}(f_1, \dots, f_{k-1}) + P_{k-2}(f_1, \dots, f_{k-2}), \\ P_{k-1}(f_2, \dots, f_k) &= f_k P_{k-2}(f_2, \dots, f_{k-1}) + P_{k-3}(f_2, \dots, f_{k-2}). \end{aligned}$$

## 6. SEQUENCES OF QUADRATIC TRANSFORMS

We will now consider a sequence

$$S = S_0 \rightarrow S_1 \rightarrow S_2 \rightarrow \dots \rightarrow S_i \rightarrow \dots$$

of quadratic transforms along  $\nu^*$ . Suppose that  $E$  is a nonsingular irreducible curve on  $\text{Spec } S$ . Denote by  $\pi_i$  the map  $\text{Spec } S_i \rightarrow \text{Spec } S$  and by  $E_i$  the reduced simple normal crossing divisor  $\pi_i^{-1}(E)_{red}$ . We say that  $S_i$  is *free* if  $E_i$  has exactly one irreducible component. For a free ring  $S_i$  and a regular parameter  $x_i \in S_i$  we will say that  $x_i$  is an *exceptional parameter* if  $x_i$  is supported on  $E_i$ . A system of parameters  $(x_i, y_i)$  of a free ring  $S_i$  is called *permissible* if  $x_i$  is an exceptional parameter.

If  $S_i$  has regular parameters  $(x_i, y_i)$  then we can choose regular parameters  $(x_{i+1}, y_{i+1})$  in  $S_{i+1}$  as follows

- a) if  $\nu^*(x_i) < \nu^*(y_i)$  then  $x_{i+1} = x_i$  and  $y_{i+1} = \frac{y_i}{x_i}$ ,
- b) if  $\nu^*(x_i) > \nu^*(y_i)$  then  $x_{i+1} = \frac{x_i}{y_i}$  and  $y_{i+1} = y_i$ ,
- c) if  $\nu^*(x_i) = \nu^*(y_i)$  then  $x_{i+1} = x_i$  and  $y_{i+1} = \frac{y_i}{x_i} - c$ , where  $c \in \mathbf{k}$  is the residue of  $\frac{y_i}{x_i}$ .

Our goal is to describe explicitly the sequence of quadratic transforms of  $S$  along  $\nu^*$ . Assume that  $(x, y)$  is a permissible system of parameters in  $S$ . Let  $p$  and  $q$  be positive coprime integers such that  $\frac{\nu^*(y)}{\nu^*(x)} = \frac{p}{q}$ . We denote by  $\mu$  the value  $\nu^*(x)$ . Let  $N = N(p, q)$ ,  $f_1, \dots, f_n$  and  $F_1, \dots, F_N$  be defined by the Euclidian algorithm for  $p$  and  $q$  as in Section 5. Let  $a$  and  $b$  be integers such that  $0 < a \leq p$ ,  $0 \leq b < q$  and  $aq - bp = 1$ . We will investigate the following sequence of quadratic transforms along  $\nu^*$

$$S = S_0 \rightarrow S_1 \rightarrow \dots \rightarrow S_{F_1} \rightarrow \dots \rightarrow S_{F_j} \rightarrow \dots \rightarrow S_{F_N}.$$

If  $N > 1$  then for all  $0 \leq j \leq F_1$ , the ring  $S_j$  is free and has a permissible system of parameters  $(x, \frac{y}{x^j})$ . In particular,

$$(X_1, Y_1) = \left( x, \frac{y}{x^{F_1}} \right) = \left( \frac{x^{P_0}}{y^{P-1}}, \frac{y^{P_0}}{x^{P_1(f_1)}} \right)$$

is a permissible system of regular parameters in  $S_{F_1}$  with  $\nu^*(X_1) = \mu$  and  $\nu^*(Y_1) = \frac{r_2}{q}\mu$ . If  $N = 1$  then  $S = S_0 \rightarrow S_1 \rightarrow \dots \rightarrow S_{F_N}$  is a sequence of free rings and  $S_{F_N}$  has a permissible system of parameters

$$(X_N, Y_N) = (X_1, Y_1) = \left(x, \frac{y}{x^{f_1}} - c\right) = \left(\frac{x^a}{y^b}, \frac{y^q}{x^p} - c\right),$$

where  $c \in \mathbf{k}$  is the residue of  $\frac{y^q}{x^p}$ . Notice also that  $\nu^*(X_N) = \mu = (\nu^*(x), \nu^*(y))$ .

If  $N > 2$  then for all  $0 < j \leq f_2$ , the ring  $S_{F_1+j}$  is not free and has a system of regular parameters  $(\frac{X_1}{Y_1^j}, Y_1)$ . In particular,

$$(X_2, Y_2) = \left(\frac{X_1}{Y_1^{f_2}}, Y_1\right) = \left(\frac{x^{P_0+f_2P_1(f_1)}}{y^{f_2}}, \frac{y^{P_0}}{x^{P_1(f_1)}}\right) = \left(\frac{x^{P_2(f_1, f_2)}}{y^{P_1(f_2)}}, \frac{y^{P_0}}{x^{P_1(f_1)}}\right)$$

are regular parameters in  $S_{F_2}$  and  $\nu^*(X_2) = \frac{r_3}{q}\mu$ ,  $\nu^*(Y_2) = \frac{r_2}{q}\mu$ .

In general, for all  $1 < k < N$  and  $0 < j \leq f_k$ , the ring  $S_{F_{k-1}+j}$  is not free and has a system of regular parameters  $(\frac{X_{k-1}}{Y_{k-1}^j}, Y_{k-1})$  if  $k$  is even or  $(X_{k-1}, \frac{Y_{k-1}}{X_{k-1}^j})$  if  $k$  is odd. In particular, if  $k$  is even then  $S_{F_k}$  has a system of regular parameters

$$(X_k, Y_k) = \left(\frac{X_{k-1}}{Y_{k-1}^{f_k}}, Y_{k-1}\right)$$

where  $\nu^*(X_k) = \frac{r_{k+1}}{q}\mu$  and  $\nu^*(Y_k) = \frac{r_k}{q}\mu$ . We also notice that since

$$\frac{X_{k-1}}{Y_{k-1}^{f_k}} = \frac{x^{P_{k-2}(f_1, \dots, f_{k-2}) + f_k P_{k-1}(f_1, \dots, f_{k-1})}}{y^{P_{k-3}(f_2, \dots, f_{k-2}) + f_k P_{k-2}(f_2, \dots, f_{k-1})}} = \frac{x^{P_k(f_1, \dots, f_k)}}{y^{P_{k-1}(f_2, \dots, f_k)}}$$

the regular parameters  $(X_k, Y_k)$  satisfy the equality

$$(X_k, Y_k) = \left(\frac{x^{P_k(f_1, \dots, f_k)}}{y^{P_{k-1}(f_2, \dots, f_k)}}, \frac{y^{P_{k-2}(f_2, \dots, f_{k-1})}}{x^{P_{k-1}(f_1, \dots, f_{k-1})}}\right).$$

If  $k$  is odd then  $S_{F_k}$  has a system of regular parameters

$$(X_k, Y_k) = \left(X_{k-1}, \frac{Y_{k-1}}{X_{k-1}^{f_k}}\right)$$

where  $\nu^*(X_k) = \frac{r_k}{q}\mu$  and  $\nu^*(Y_k) = \frac{r_{k+1}}{q}\mu$ . We notice that since

$$\frac{Y_{k-1}}{X_{k-1}^{f_k}} = \frac{y^{P_{k-3}(f_2, \dots, f_{k-2}) + f_k P_{k-2}(f_2, \dots, f_{k-1})}}{x^{P_{k-2}(f_1, \dots, f_{k-2}) + f_k P_{k-1}(f_1, \dots, f_{k-1})}} = \frac{y^{P_{k-1}(f_2, \dots, f_k)}}{x^{P_k(f_1, \dots, f_k)}}$$

the regular parameters  $(X_k, Y_k)$  satisfy the equality

$$(X_k, Y_k) = \left(\frac{x^{P_{k-1}(f_1, \dots, f_{k-1})}}{y^{P_{k-2}(f_2, \dots, f_{k-1})}}, \frac{y^{P_{k-1}(f_2, \dots, f_k)}}{x^{P_k(f_1, \dots, f_k)}}\right).$$

Finally, if  $N > 1$  is odd then for all  $0 < j < f_N$  the ring  $S_{F_{N-1}+j}$  is not free and has a system of regular parameters  $(X_{N-1}, \frac{Y_{N-1}}{X_{N-1}^j})$ . Moreover,  $S_{F_N}$  is the first free ring after a sequence of non-free rings  $S_{F_1+1} \rightarrow \dots \rightarrow S_{F_{N-1}}$ . If  $c \in \mathbf{k}$  is the residue of  $\frac{y^q}{x^p}$  then

$$\begin{aligned} (X_N, Y_N) &= \left( X_{N-1}, \frac{Y_{N-1}}{X_{N-1}^{f_N}} - c \right) = \\ &= \left( \frac{x^{P_{N-1}(f_1, \dots, f_{N-1})}}{y^{P_{N-2}(f_2, \dots, f_{N-1})}}, \frac{y^{P_{N-1}(f_2, \dots, f_N)}}{x^{P_N(f_1, \dots, f_N)}} - c \right) = \left( \frac{x^a}{y^b}, \frac{y^q}{x^p} - c \right) \end{aligned}$$

form a permissible system of parameters in  $S_{F_N}$  with  $\nu^*(X_N) = \frac{r_N}{q}\mu = \frac{1}{q}\mu = (\nu^*(x), \nu^*(y))$ .

If  $N > 1$  is even then for all  $0 < j < f_N$  the ring  $S_{F_{N-1}+j}$  is not free and has a system of regular parameters  $(\frac{X_{N-1}}{Y_{N-1}^j}, Y_{N-1})$ . Moreover,  $S_{F_N}$  is the first free ring after a sequence of non-free rings  $S_{F_1+1} \rightarrow \dots \rightarrow S_{F_{N-1}}$ . If  $c \in \mathbf{k}$  is the residue of  $\frac{y^q}{x^p}$  then

$$\begin{aligned} (X_N, Y_N) &= \left( \frac{X_{N-1}}{Y_{N-1}^{f_N-1}}, \frac{Y_{N-1}^{f_N}}{X_{N-1}} - c \right) = \left( \frac{X_{N-1}}{Y_{N-1}^{f_N}} \cdot Y_{N-1}, \frac{Y_{N-1}^{f_N}}{X_{N-1}} - c \right) = \\ &= \left( \frac{x^{P_N(f_1, \dots, f_N) - P_{N-1}(f_1, \dots, f_{N-1})}}{y^{P_{N-1}(f_2, \dots, f_N) - P_{N-2}(f_2, \dots, f_{N-1})}}, \frac{y^{P_{N-1}(f_2, \dots, f_N)}}{x^{P_N(f_1, \dots, f_N)}} - c \right) = \left( \frac{x^a}{y^b}, \frac{y^q}{x^p} - c \right) \end{aligned}$$

form a permissible system of parameters in  $S_{F_N}$  with  $\nu^*(X_N) = \frac{r_N}{q}\mu = \frac{1}{q}\mu = (\nu^*(x), \nu^*(y))$ .

The following lemma summarizes the above discussion. We will often refer to it in the rest of the paper.

**Lemma 6.1.** *Suppose that  $S$  is a free ring and  $(x, y)$  is a permissible system of parameters in  $S$  such that  $\frac{\nu^*(y)}{\nu^*(x)} = \frac{p}{q}$  for some coprime integers  $p$  and  $q$ . Let  $k = \epsilon(p, q)$ ,  $f_1 = f_1(p, q) = \left\lceil \frac{p}{q} \right\rceil$  and let  $a$  and  $b$  be nonnegative integers such that  $a \leq p$ ,  $b < q$ , and  $aq - bp = 1$ . Then the sequence of quadratic transforms along  $\nu^*$*

$$S = S_0 \rightarrow S_1 \rightarrow \dots \rightarrow S_{f_1} \rightarrow S_{f_1+1} \rightarrow \dots \rightarrow S_{k-1} \rightarrow S_k \quad (6.1)$$

has the following properties:

- 1)  $S_0, S_1, \dots, S_{f_1}$  and  $S_k$  are free rings.
- 2) Non-free rings appear in (6.1) if and only if  $k > f_1$ , that is if  $q > 1$ . In this case  $S_{f_1+1}, \dots, S_{k-1}$  are non-free.

3)  $S_k$  has a permissible system of coordinates  $(X, Y) = \left( \frac{x^a}{y^b}, \frac{y^q}{x^p} - c \right)$ , where  $c \in \mathbf{k}$  is the residue of  $\frac{y^q}{x^p}$ . Moreover,  $\nu^*(X) = (\nu^*(x), \nu^*(y)) = \frac{1}{q}\nu^*(x)$  and  $x = X^q(Y + c)^b$ ,  $y = X^p(Y + c)^a$ .

*Proof.* We only check that  $X^q(Y + c)^b = \frac{x^{aq}}{y^{bq}} \cdot \frac{y^{qb}}{x^{pb}} = x^{aq-bp} = x$  and  $X^p(Y + c)^a = \frac{x^{ap}}{y^{bp}} \cdot \frac{y^{qa}}{x^{pa}} = y^{aq-bp} = y$ .  $\square$

## 7. PROPERTIES OF JUMPING POLYNOMIALS

In this section assumptions and notations are as in Section 4. We fix regular parameters  $(x, y)$  of  $S$  and we further assume that  $(x, y)$  is a permissible system of parameters in  $S$  by setting  $E$  to be the curve on  $\text{Spec } S$  defined by  $x = 0$ .

For all  $k > 0$  let  $d_k = (p_1, p_2, \dots, p_k)$ . We will use this notation often in the rest of the paper.

**Theorem 7.1.** *Suppose that  $R$  is a regular local ring dominated by  $S$  and  $(u, v)$  are regular parameters of  $R$  such that*

$$\begin{aligned} u &= x^t \\ v &= y, \end{aligned}$$

where  $t$  is a positive integer.

*If  $t|d_k$  for some  $k > 0$  then  $\{u, \{T_i\}_{i=1}^{k+1}\} = \{T'_i\}_{i=0}^{k+1}$  is the beginning of a sequence of jumping polynomials in  $R$ . Moreover, for all  $1 \leq i \leq k$  the pair of coprime integers defined in the construction of jumping polynomials  $\{T'_i\}_{i \geq 0}$  in  $R$  is  $(p'_i, q'_i) = \left(\frac{p_i}{t}, q_i\right)$ .*

*Proof.* Since  $\nu^*(u) = t$  in order to construct the sequence of jumping polynomials  $\{T'_i\}_{i \geq 0}$  in  $R$  we use the following valuation  $\tilde{\nu}$  of  $K^*$ :

$$\tilde{\nu}(f) = \frac{\nu^*(f)}{t} \text{ for all } f \in K^*.$$

We have  $T'_1 = v = y = T_1$  and the coprime integers  $p'_1$  and  $q'_1$  are such that  $\frac{p'_1}{q'_1} = \tilde{\nu}(y) = \frac{p_1}{tq_1}$ . Assume  $t|p_1$ . Since  $(p_1, q_1) = 1$  we get  $p'_1 = \frac{p_1}{t}$  and  $q'_1 = q_1$ . Then  $T'_2 = v^{q_1} - \lambda'_1 u^{p'_1} = y^{q_1} - \lambda'_1 x^{p_1}$ , where  $\lambda'_1$  is the residue of  $\frac{v^{q_1}}{u^{p'_1}} = \frac{y^{q_1}}{x^{p_1}}$ , that is  $\lambda'_1 = \lambda_1$  and  $T'_2 = T_2$ . The statement is proved for  $k = 1$ .

By induction on  $k$  it suffices to show that the statement holds for  $k$  provided it holds for  $k - 1$ . Then since  $t|d_k$  and  $d_k|d_{k-1}$  by the inductive assumption we have

$T'_i = T_i$  for all  $1 \leq i \leq k$  and  $(p'_i, q'_i) = (\frac{p_i}{t}, q_i)$  for all  $1 \leq i \leq k-1$ . The coprime integers  $p'_k$  and  $q'_k$  satisfy the following equality

$$\frac{p'_k}{q'_k} = Q_{k-1}(\tilde{\nu}(T_k) - q_{k-1}\tilde{\nu}(T_{k-1})) = \frac{1}{t}Q_{k-1}(\nu^*(T_k) - q_{k-1}\nu^*(T_{k-1})) = \frac{1}{t} \cdot \frac{p_k}{q_k}.$$

Since  $t|p_k$  and  $(p_k, q_k) = 1$  we get  $p'_k = \frac{p_k}{t}$  and  $q'_k = q_k$ . Then

$$T'_{k+1} = (T'_k)^{q'_k} - \lambda'_k \prod_{i=0}^{k-1} (T'_i)^{n'_{k,i}} = T_k^{q_k} - \lambda'_k u^{n'_{k,0}} \prod_{i=1}^{k-1} T_i^{n'_{k,i}},$$

where  $\lambda'_k$  is the residue of  $\frac{T_k^{q_k}}{u^{n'_{k,0}} \prod_{i=1}^{k-1} T_i^{n'_{k,i}}}$  and  $q_k \tilde{\nu}(T_k) = n'_{k,0} + \sum_{i=1}^{k-1} n'_{k,i} \tilde{\nu}(T_i)$  with  $n'_{k,i} < q_i$  for all  $1 \leq i \leq k-1$ . We notice that the last equality is equivalent to

$$q_k \nu^*(T_k) = n'_{k,0} t + \sum_{i=1}^{k-1} n'_{k,i} \nu^*(T_i).$$

We also have

$$q_k \nu^*(T_k) = n_{k,0} + \sum_{i=1}^{k-1} n_{k,i} \nu^*(T_i) \quad (7.1)$$

from the construction of jumping polynomials in  $S$ . Thus from the uniqueness of presentation (7.1) we obtain  $n'_{k,i} = n_{k,i}$  for all  $1 \leq i \leq k-1$  and  $n'_{k,0} = \frac{n_{k,0}}{t}$ . Thus,  $u^{n'_{k,0}} \prod_{i=1}^{k-1} T_i^{n'_{k,i}} = \prod_{i=0}^{k-1} T_i^{n_{k,i}}$ ,  $\lambda'_k = \lambda_k$ , the residue of  $\frac{T_k^{q_k}}{\prod_{i=0}^{k-1} T_i^{n_{k,i}}}$ , and  $T'_{k+1} = T_{k+1}$ . This completes the proof.  $\square$

**Corollary 7.2.** *For all  $k > 0$  all powers of  $x$  that appear in  $T_2, T_3, \dots, T_{k+1}$  are multiples of  $d_k$ .*

*Proof.* Notice that Theorem 7.1 in particular shows that if  $t|d_k$ , then all powers of  $x$  that appear in  $T_2, T_3, \dots, T_{k+1}$  are multiples of  $t$ .  $\square$

Our next goal is to describe the images of jumping polynomials under blowups of  $S$  along  $\nu^*$ .

Before we state the next results we notice that if  $S \subset \bar{S}$  is any subring of the  $m_S$ -adic completion  $\hat{S}$  of  $S$  we can extend the valuation  $\nu^*$  to a valuation of  $\bar{K} = QF(\bar{S})$  centered in  $\bar{S}$ . We first consider the unique extension  $\hat{\nu}$  of  $\nu^*$  to  $\hat{K} = QF(\hat{S})$  centered in  $\hat{S}$ , then we restrict  $\hat{\nu}$  to  $\bar{K}$ . By abuse of notations we will say that  $\nu^*$  is also a valuation of  $\bar{K}$ .

We will be mostly interested in the case where  $\bar{S}$  is an étale extension of  $S$ . If  $n$  is a maximal ideal of  $\bar{S}$ , we will say that the map  $S \rightarrow \bar{S}_n$  is *local étale*. Most of the times we will have  $n = m_{V^*} \cap \bar{S}$ , the center of the valuation.



We will first consider a sequence of ring extensions

$$S = S'_0 \rightarrow \bar{S}'_0 \rightarrow S'_1 \rightarrow \dots \rightarrow \bar{S}'_{i-1} \rightarrow S'_i \rightarrow \dots$$

such that for all  $i \geq 0$   $\bar{S}'_i \rightarrow S'_{i+1}$  is a quadratic transform along  $\nu^*$  and  $S'_i \rightarrow \bar{S}'_i$  is a local étale extension. As before let  $E$  be a nonsingular irreducible curve on  $\text{Spec } S$ , denote by  $\pi'_i$  the map  $\text{Spec } S'_i \rightarrow \text{Spec } S$  and by  $E'_i$  the reduced simple normal crossing divisor  $\pi'^{-1}_i(E)_{red}$ .

In what follows, for all  $i > 0$  let  $a_i, b_i$  be nonnegative integers such that  $a_i q_i - b_i p_i = 1$  and  $a_i \leq p_i, b_i < q_i$ . The existence of  $a_i$  and  $b_i$  is due to the Euclidean division algorithm. Let  $k_0 = 0$  and  $k_i = k_{i-1} + \epsilon(p_i, q_i)$  ( $\epsilon(p_i, q_i)$  was defined in Section 5).

**Lemma 7.3.** *There exists a sequence of ring extensions*

$$\begin{aligned} S = S'_0 \rightarrow S'_1 \rightarrow \dots \rightarrow S'_{k_1} \rightarrow \bar{S}'_{k_1} \rightarrow S'_{k_1+1} \rightarrow \dots \\ \dots \rightarrow S'_{k_2} \rightarrow \bar{S}'_{k_2} \rightarrow \dots \rightarrow S'_{k_i} \rightarrow \bar{S}'_{k_i} \rightarrow \dots \end{aligned}$$

such that for all  $i > 0$  and  $j \neq k_i, S'_j \rightarrow S'_{j+1}$  and  $\bar{S}'_{k_i} \rightarrow S'_{k_i+1}$  are quadratic transforms along  $\nu^*$ ,  $\bar{S}'_{k_i} = S'_{k_i}[\alpha_i]_{m_{V^*} \cap S'_{k_i}[\alpha_i]}$  are local étale extensions and the following hold:

- 1)  $\alpha_i^{p_i+1} \in S'_{k_i}$  is a unit.
- 2)  $S'_{k_i}$  is free and has a permissible system of parameters  $(z_i, w_i)$  such that  $z_i$  is an exceptional parameter and  $w_i = \frac{T_{i+1}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}}$  is the strict transform of  $T_{i+1}$  in  $S'_{k_i}$ .
- 3)  $\nu^*(z_i) = \frac{1}{Q_i}$  and  $\nu^*(w_i) = \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}$ .
- 4) For all  $0 \leq j \leq i$ ,  $T_j = z_i^{Q_i \beta_i} \tau_{j,i}$ , where  $\tau_{j,i} \in S'_{k_i}$  is a unit.

*Proof.* We apply induction on  $i$ . For  $i = 1$ , by Lemma 6.1 the ring  $S'_{k_1}$  is free and has a system of regular parameters  $(z_1, w_1)$ , where

$$\begin{aligned} z_1 &= \frac{x^{a_1}}{y^{b_1}} & \nu^*(z_1) &= \left(1, \frac{p_1}{q_1}\right) = \frac{1}{q_1} \\ w_1 &= \frac{y^{q_1} - \lambda_1 x^{p_1}}{x^{p_1}} = \frac{T_2}{T_0^{n_{1,0}}} & \nu^*(w_1) &= \beta_2 - p_1 = \frac{1}{q_1} \cdot \frac{p_2}{q_2}. \end{aligned}$$

We also have  $T_0 = x = z_1^{q_1} (w_1 + \lambda_1)^{b_1} = z_1^{q_1} \tau_{0,1}$  and  $T_1 = y = z_1^{p_1} (w_1 + \lambda_1)^{a_1} = z_1^{p_1} \tau_{1,1}$ , where  $\tau_{0,1}$  and  $\tau_{1,1}$  are units in  $S'_{k_1}$ .

Now assume that the lemma is true for  $i - 1$ . We set  $\alpha_{i-1} = \left( \frac{\prod_{j=0}^{i-1} \tau_{j,i-1}^{n_{i,j}}}{\prod_{j=0}^{i-2} \tau_{j,i-1}^{n_{i-1,j} q_i}} \right)^{\frac{1}{p_i}}$  and  $\bar{S}'_{k_{i-1}} = S'_{k_{i-1}}[\alpha_{i-1}]_{m_{V^*} \cap S'_{k_{i-1}}[\alpha_{i-1}]}$ . Then  $\alpha_{i-1}^{p_i} \in S'_{k_{i-1}}$  and  $\bar{S}'_{k_{i-1}}$  is a local étale extension of  $S'_{k_{i-1}}$ . Let  $\bar{z}_{i-1} = z_{i-1} \alpha_{i-1}$ , then  $(\bar{z}_{i-1}, w_{i-1})$  is a permissible system of parameters in  $\bar{S}'_{k_{i-1}}$ ,  $\nu^*(\bar{z}_{i-1}) = \nu^*(z_{i-1}) = \frac{1}{Q_{i-1}}$  and  $\nu^*(w_{i-1}) = \frac{1}{Q_{i-1}} \cdot \frac{p_i}{q_i}$ . Recall

that  $k_i = k_{i-1} + \epsilon(p_i, q_i)$ . Therefore, by Lemma 6.1 the ring  $S'_{k_i}$  is free and has a permissible system of parameters  $(z_i, w_i)$  such that

$$z_i = \frac{\bar{z}_{i-1}^{a_i}}{w_{i-1}^{b_i}} \quad \text{is an exceptional parameter with } \nu^*(z_i) = \left( \frac{1}{Q_{i-1}}, \frac{1}{Q_{i-1}} \cdot \frac{p_i}{q_i} \right) = \frac{1}{Q_i},$$

$$w_i = \frac{w_{i-1}^{q_i}}{\bar{z}_{i-1}^{p_i}} - c_i, \quad \text{where } c_i \in \mathbf{k} \text{ is the residue of } \frac{w_{i-1}^{q_i}}{\bar{z}_{i-1}^{p_i}}.$$

We notice that for all  $0 \leq l \leq i-1$  the following equalities hold

$$\begin{aligned} \prod_{j=0}^l T_j^{n_{l+1,j}} &= \prod_{j=0}^l z_{i-1}^{Q_{i-1}\beta_j n_{l+1,j}} \tau_{j,i-1}^{n_{l+1,j}} = z_{i-1}^{Q_{i-1} \sum_{j=0}^l n_{l+1,j} \beta_j} \prod_{j=0}^l \tau_{j,i-1}^{n_{l+1,j}} \\ &= z_{i-1}^{Q_{i-1} q_{l+1} \beta_{l+1}} \prod_{j=0}^l \tau_{j,i-1}^{n_{l+1,j}} = \bar{z}_{i-1}^{Q_{i-1} q_{l+1} \beta_{l+1}} \alpha_{i-1}^{-Q_{i-1} q_{l+1} \beta_{l+1}} \prod_{j=0}^l \tau_{j,i-1}^{n_{l+1,j}}. \end{aligned}$$

Hence,

$$\prod_{j=0}^{i-1} T_j^{n_{i,j}} = \bar{z}_{i-1}^{Q_i \beta_i} \alpha_{i-1}^{-Q_i \beta_i} \prod_{j=0}^{i-1} \tau_{j,i-1}^{n_{i,j}}, \quad \prod_{j=0}^{i-2} T_j^{n_{i-1,j}} = \bar{z}_{i-1}^{Q_{i-1} q_{i-1} \beta_{i-1}} \alpha_{i-1}^{-Q_{i-1} q_{i-1} \beta_{i-1}} \prod_{j=0}^{i-2} \tau_{j,i-1}^{n_{i-1,j}}$$

and

$$\begin{aligned} \frac{w_{i-1}^{q_i}}{\bar{z}_{i-1}^{p_i}} &= \frac{T_i^{q_i}}{\bar{z}_{i-1}^{p_i} \prod_{j=0}^{i-2} T_j^{n_{i-1,j} q_i}} = \frac{T_i^{q_i}}{\bar{z}_{i-1}^{Q_i q_{i-1} \beta_{i-1} + p_i} \alpha_{i-1}^{-Q_i q_{i-1} \beta_{i-1}} \prod_{j=0}^{i-2} \tau_{j,i-1}^{n_{i-1,j} q_i}} \\ &= \frac{T_i^{q_i}}{\bar{z}_{i-1}^{Q_i \beta_i} \alpha_{i-1}^{-Q_i \beta_i + p_i} \prod_{j=0}^{i-2} \tau_{j,i-1}^{n_{i-1,j} q_i}} = \frac{T_i^{q_i}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}} \cdot \frac{\prod_{j=0}^{i-1} \tau_{j,i-1}^{n_{i,j}}}{\prod_{j=0}^{i-2} \tau_{j,i-1}^{n_{i-1,j} q_i}} \alpha_{i-1}^{-p_i} \\ &= \frac{T_i^{q_i}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}}. \end{aligned} \tag{7.2}$$

Therefore,  $c_i$  coincides with  $\lambda_i$ , and we get  $w_i = \frac{T_{i+1}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}}$  with  $\nu^*(w_i) = \beta_{i+1} - q_i \beta_i = \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}$ .

Finally, in view of Lemma 6.1 we have  $z_{i-1} = z_i^{q_i} (w_i + \lambda_i)^{b_i} \alpha_{i-1}^{-1}$  and  $w_{i-1} = z_i^{p_i} (w_i + \lambda_i)^{a_i}$ . Notice also that  $Q_{i-1} \beta_j$  is an integer for all  $0 \leq j \leq i-1$ . Thus, for all  $0 \leq j \leq i-1$  we get

$$T_j = z_{i-1}^{Q_{i-1} \beta_j} \tau_{j,i-1} = z_i^{Q_i \beta_j} ((w_i + \lambda_i)^{b_i} \alpha_{i-1}^{-1})^{Q_{i-1} \beta_j} \tau_{j,i-1} = z_i^{Q_i \beta_j} \tau_{j,i},$$

where  $\tau_{j,i}$  is a unit in  $S'_{k_i}$ , and

$$\begin{aligned} T_i &= w_{i-1} \prod_{j=0}^{i-2} T_j^{n_{i-1,j}} = w_{i-1} z_{i-1}^{Q_{i-1}q_{i-1}\beta_{i-1}} \prod_{j=0}^{i-2} \tau_{j,i-1}^{n_{i-1,j}} = \\ &= z_i^{Q_i q_{i-1} \beta_{i-1} + p_i} (w_i + \lambda_i)^{a_i} ((w_i + \lambda_i)^{b_i} \alpha_{i-1}^{-1})^{Q_{i-1} q_{i-1} \beta_{i-1}} \prod_{j=0}^{i-2} \tau_{j,i-1}^{n_{i-1,j}} = z_i^{Q_i \beta_i} \tau_{i,i}, \end{aligned}$$

where  $\tau_{i,i}$  is a unit in  $S'_{k_i}$ . This completes the proof of the lemma.  $\square$

**Remark 7.4.** In our set-up, assume that  $\bar{S}$  is a local étale extension of  $S$ ,  $S'$  is a quadratic transform of  $S$  along  $\nu^*$ , and  $\bar{S}'$  is a quadratic transform of  $\bar{S}$  along  $\nu^*$ . Without loss of generality, assume that  $S$  has regular parameters  $(x, y)$  with  $\nu^*(y) \geq \nu^*(x)$ . Then  $S' = S[x, \frac{y}{x}]_{(x, \frac{y}{x} - \beta)}$ , for some  $\beta \in k$ . Since  $m_S \bar{S} = m_{\bar{S}}$ , we have that  $\bar{S}$  has regular parameters  $(x, y)$  and so  $\bar{S}' = \bar{S}[x, \frac{y}{x}]_{(x, \frac{y}{x} - \beta')}$ , for some  $\beta' \in k$ . Since the quadratic transforms are along  $\nu^*$ , it follows that  $\beta = \beta'$ . Since  $\bar{S}'$  is essentially of finite type over  $S'$  and  $m_{S'} \bar{S}' = m_{\bar{S}'}$ , we have that  $\bar{S}'$  is a local étale extension of  $S'$ . Furthermore, if  $\bar{S} = S[\alpha^{1/n}]_{m_{V^*} \cap S[\alpha^{1/n}]}$ , where  $\alpha \in S$ , we have that  $\bar{S}' = S'[\alpha^{1/n}]_{m_{V^*} \cap S'[\alpha^{1/n}]}$ .

**Theorem 7.5.** *There exists a sequence of quadratic transforms along  $\nu^*$*

$$S = S_0 \rightarrow S_1 \rightarrow \dots \rightarrow S_{k_1-1} \rightarrow S_{k_1} \rightarrow S_{k_1+1} \rightarrow \dots \rightarrow S_{k_i} \rightarrow \dots$$

such that for all  $i > 0$ ,  $S_{k_i}$  is free and has a system of regular parameters  $(x_i, y_i)$  such that  $x_i$  is an exceptional parameter,  $T_j = x_i^{Q_i \beta_j} \gamma_{j,i}$  for  $0 \leq j \leq i$ , where  $\gamma_{j,i} \in S_{k_i}$  is a unit,  $\nu^*(x_i) = \frac{1}{Q_i}$ ,  $y_i = \frac{T_{i+1}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}}$  is the strict transform of  $T_{i+1}$  in  $S_{k_i}$  and

$$\nu^*(y_i) = \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}.$$

*Proof.* We shall construct the required sequence from the sequence

$$S = S'_0 \rightarrow S'_1 \rightarrow \dots \rightarrow S'_{k_1} \rightarrow \bar{S}'_{k_1} \rightarrow S'_{k_1+1} \rightarrow \dots \rightarrow S'_{k_2} \rightarrow \bar{S}'_{k_2} \rightarrow \dots \rightarrow S'_{k_i} \rightarrow \bar{S}'_{k_i} \rightarrow \dots$$

of Lemma 7.3. It suffices to show, by induction on  $i$ , that we can construct a sequence

$$S = S_0 \rightarrow S_1 \rightarrow \dots \rightarrow S_{k_1} \rightarrow S_{k_1+1} \rightarrow \dots \rightarrow S_{k_i} \quad (7.3)$$

with the required properties and such that  $\bar{S}'_{k_i} = S_{k_i}[\alpha_1, \dots, \alpha_i]_{m_{V^*} \cap S_{k_i}[\alpha_1, \dots, \alpha_i]}$  is a local étale extension of  $S_{k_i}$ , where  $\alpha_j^{p_j+1} \in S_{k_i}$  is a unit for  $j = 1, \dots, i$ .

For  $i = 1$ , the sequence (7.3) is given by taking  $S_j = S'_j$  for any  $0 \leq j \leq k_1$  and setting  $(x_1, y_1) = (z_1, w_1)$ . We also notice that  $\bar{S}'_{k_1} = S_{k_1}[\alpha_1]_{m_{V^*} \cap S_{k_1}[\alpha_1]}$ , where  $\alpha_1^{p_1+1} \in S_{k_1}$  is a unit. In general, suppose that the sequence (7.3) has been constructed for  $i - 1$ , i.e., we have a sequence of ring extensions

$$S = S_0 \rightarrow \dots \rightarrow S_{k_1} \rightarrow \dots \rightarrow S_{k_{i-1}} \rightarrow \bar{S}'_{k_{i-1}} \rightarrow S'_{k_{i-1}+1} \rightarrow \dots \rightarrow S'_{k_i} \rightarrow \bar{S}'_{k_i} \rightarrow \dots \quad (7.4)$$

where  $S_{j-1} \rightarrow S_j$  is a quadratic transform for  $1 \leq j \leq k_{i-1}$ ,

$$\bar{S}'_{k_{i-1}} = S_{k_{i-1}}[\alpha_1, \dots, \alpha_{i-1}]_{m_{V^*} \cap S_{k_{i-1}}[\alpha_1, \dots, \alpha_{i-1}]}$$

is a local étale extension (here,  $\alpha_j^{p_j+1} \in S_{k_{i-1}}$  is a unit for  $j = 1, \dots, i-1$ ) and  $\bar{S}'_{k_{i-1}} \rightarrow S'_{k_{i-1}+1}$ ,  $S'_{j-1} \rightarrow S'_j$  is a quadratic transform for  $k_{i-1} + 2 \leq j \leq k_i$ . By applying Remark 7.4 to the subsequence

$$\bar{S}'_{k_{i-1}} \rightarrow S'_{k_{i-1}+1} \rightarrow \dots \rightarrow S'_{k_i}$$

of the sequence (7.4) we obtain a new sequence of ring extensions

$$S = S_0 \rightarrow \dots \rightarrow S_{k_{i-1}} \rightarrow S_{k_{i-1}+1} \rightarrow \dots \rightarrow S_{k_i} \rightarrow \bar{S}'_{k_i} \rightarrow \dots$$

where  $S_{j-1} \rightarrow S_j$  is a quadratic transform for all  $1 \leq j \leq k_i$  and

$$\bar{S}'_{k_i} = S_{k_i}[\alpha_1, \dots, \alpha_i]_{m_{V^*} \cap S_{k_i}[\alpha_1, \dots, \alpha_i]}$$

is a local étale extension (here,  $\alpha_j^{p_j+1} \in S_{k_i}$  is a unit for  $j = 1, \dots, i$ ).

Let  $(x_{i-1}, y_{i-1})$  be a system of parameters in  $S_{k_{i-1}}$  satisfying the required properties. Then by Lemma 6.1 we get that  $x_i = \frac{x_{i-1}^{a_i}}{y_{i-1}^{b_i}}$  is an exceptional parameter of  $S_{k_i}$  with  $\nu^*(x_i) = \frac{1}{Q_{i-1}}$  and  $\delta = \frac{y_{i-1}^{q_i}}{x_{i-1}^{p_i}}$  is a unit in  $S_{k_i}$ . Moreover, by computations similar to (7.2), we have

$$\frac{y_{i-1}^{q_i}}{x_{i-1}^{p_i}} = \frac{T_i^{q_i}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}} \cdot \frac{\prod_{j=0}^{i-1} \gamma_{j,i-1}^{n_{i,j}}}{\prod_{j=0}^{i-2} \gamma_{j,i-1}^{n_{i-1,j} q_i}} = \frac{T_i^{q_i}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}} \theta,$$

where  $\theta \in S_{k_i}$  is a unit.

This implies that  $\frac{T_i^{q_i}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}} \in S_{k_i}$  and, therefore,  $\frac{T_i^{q_i}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}} - \lambda_i = \frac{T_{i+1}}{\prod_{j=0}^{i-1} T_j^{n_{i,j}}} = w_i \in S_{k_i}$ . Since  $(z_i, w_i)$  form a permissible system of parameters in  $\bar{S}'_{k_i}$ , by replacing the exceptional parameter  $z_i$  by  $x_i$  we get a permissible system of parameters  $(x_i, w_i)$  in  $S_{k_i}$ . So we will choose a system of regular parameters  $(x_i, y_i)$  in  $S_{k_i}$  by letting  $(x_i, y_i) = \left( \frac{x_{i-1}^{a_i}}{y_{i-1}^{b_i}}, w_i \right)$ . Notice that  $\nu^*(y_i) = \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}$ .

We further have  $x_{i-1} = x_i^{q_i} \delta^{b_i}$  and  $y_{i-1} = x_i^{p_i} \delta^{a_i}$ . Thus, recalling that  $Q_{i-1} \beta_j$  is an integer for all  $0 \leq j \leq i-1$ , we obtain

$$T_j = x_{i-1}^{Q_{i-1} \beta_j} \gamma_{j,i-1} = x_i^{Q_i \beta_j} \delta^{b_i Q_{i-1} \beta_j} \gamma_{j,i-1} = x_i^{Q_i \beta_j} \gamma_{j,i},$$

where  $\gamma_{j,i} \in S_{k_i}$  is a unit, and for  $j = i$  we have

$$\begin{aligned} T_i &= y_{i-1} \prod_{j=0}^{i-2} T_j^{n_{i-1,j}} = x_i^{p_i} \delta^{a_i} \left( \prod_{j=0}^{i-2} x_i^{n_{i-1,j} Q_i \beta_j} \gamma_{j,i}^{n_{i-1,j}} \right) = \\ &= x_i^{p_i + Q_i \sum_{j=0}^{i-2} n_{i-1,j} \beta_j} \left( \delta^{a_i} \prod_{j=0}^{i-2} \gamma_{j,i}^{n_{i-1,j}} \right) = x_i^{p_i + Q_i q_{i-1} \beta_{i-1}} \gamma_{i,i} = x_i^{Q_i \beta_i} \gamma_{i,i} \end{aligned}$$

where  $\gamma_{i,i} \in S_{k_i}$  is a unit.

Hence, the sequence of ring extensions  $S = S_0 \rightarrow S_1 \rightarrow \dots \rightarrow S_{k_i} \rightarrow \bar{S}'_{k_i}$  has required properties. The result is proved.  $\square$

**7.1. Remarks on generating sequences.** Assume that the value group of  $\nu^*$  is a non-discrete subgroup of  $\mathbb{Q}$ . Suppose that

$$S = S_0 \rightarrow S_1 \rightarrow S_2 \rightarrow \dots \rightarrow S_j \rightarrow \dots \quad (7.5)$$

is a sequence of quadratic transforms along  $\nu^*$  and  $E_j$  is the exceptional divisor on  $S_j$  for all  $j \geq 0$ . Then a generating sequence of  $\nu^*$  can be constructed as in [5] (see also [10], p. 150).

**Definition 7.6.** Set  $s'_1 = \bar{s}_0 = 0$ . For all  $i > 0$  let  $(s'_{i+1}, \bar{s}_i)$  be the pair of integers with the following properties:

- 1)  $\bar{s}_i$  is the biggest integer  $s \geq s'_i$  such that  $S_{s'}$  is free for all  $s'$  with  $s'_i \leq s' \leq s$ ;
- 2)  $s'_{i+1}$  is the smallest integer  $s > \bar{s}_i$  such that  $S_s$  is free.

We notice here that the set of free  $S_j$  in (7.5) is infinite, as it follows from Theorem 7.5. Thus the sequences of integers  $\{s'_i\}_{i>0}$  and  $\{\bar{s}_i\}_{i \geq 0}$  are well defined.

**Remark 7.7.** Let  $\{Q_i\}_{i \geq 0}$  be a sequence of elements in  $S$  such that  $Q_0$  is an exceptional parameter in  $S$ ,  $(Q_0, Q_1)$  form a system of parameters in  $S$  and the strict transform of  $\text{div}(Q_1)$  in  $\text{Spec } S_{\bar{s}_1}$  is not empty. For each  $i \geq 2$  let  $\text{div}(Q_i)$  be an analytically irreducible curve in  $\text{Spec } S$  such that the strict transform of  $\text{div}(Q_i)$  in  $\text{Spec } S_{\bar{s}_i}$  is smooth and transversal to  $E_{\bar{s}_i}$ . Then  $\{Q_i\}_{i \geq 0}$  is a generating sequence of  $\nu^*$  [10].

We show that the set of all independent jumping polynomials in  $S$  satisfies Remark 7.7. Therefore we have an alternative argument that such set forms a generating sequence of  $\nu^*$ . We will need to use the irreducibility criterion of Cossart and Moreno-Socías [2, Theorem 6.2] in the form of Remark 7.17 of [5]. Before we state this irreducibility criterion we recall the notations of Section 4.

Suppose that  $(x, y)$  are permissible parameters in  $S$  and the value group  $\Gamma^*$  of  $\nu^*$  is normalized so that  $\nu^*(x) = 1$ . Let  $\{T_i\}_{i \geq 0}$  denote the sequence of jumping polynomials in  $S$ ,  $\{H_l\}_{l \geq 0}$  denote the sequence of independent jumping polynomials in  $S$  and  $\{i_l\}_{l \geq 0}$  denote the sequence of indexes such that  $H_l = T_{i_l}$ . Then for all  $l \geq 1$  we have

$$H_{l+1} = H_l^{\bar{q}_l} - \lambda_{i_l} \prod_{j=0}^{l-1} H_j^{n_{i_l, i_j}} - \sum_{i'=i_l+1}^{i_{l+1}-1} \lambda_{i'} \prod_{j=0}^l H_j^{n_{i', i_j}}, \quad (7.6)$$

where  $0 \leq n_{i_l, i_j}, n_{i', i_j} < \bar{q}_j$  for all  $i_l < i' < i_{l+1}$  and all  $0 \leq j \leq l$ .

**Theorem 7.8.** (*Remark 7.17, [5]*)

Given a sequence of Weierstrass polynomials  $\{H_l\}_{l \geq 1}$  satisfying (7.6) for all  $l \geq 1$ , set  $\bar{\gamma}_0 = 1$  and define by induction on  $l$  the values

$$\bar{\gamma}_l = \frac{1}{\bar{q}_l} \sum_{j=0}^{l-1} n_{i_l, i_j} \bar{\gamma}_j.$$

Let  $\Gamma_l = \langle \bar{\gamma}_0, \bar{\gamma}_1, \dots, \bar{\gamma}_l \rangle$ . Then  $\{H_l\}_{l \geq 0}$  is a generating sequence of a (uniquely determined) valuation ring  $\bar{V}$  of  $\hat{S} = \mathbf{k}[[x, y]]$ , whose value group is a non-discrete subgroup of  $\mathbb{Q}$  if for  $l > 0$  the  $\bar{\gamma}_l$ 's satisfy the following three properties:

- 1)  $\bar{q}_l \bar{\gamma}_l$  has order precisely  $\bar{q}_l$  in  $\frac{\Gamma_{l-1}}{\bar{q}_l \Gamma_{l-1}}$ ,
- 2)  $\bar{\gamma}_{l+1} > \bar{q}_l \bar{\gamma}_l$ ,
- 3)  $\sum_{j=0}^l n_{i', i_j} \bar{\gamma}_j > \bar{q}_l \bar{\gamma}_l$  for all  $i_l < i' < i_{l+1}$ .

It follows from the construction of jumping polynomials that the values  $\bar{\gamma}_l$ , defined for the sequence of independent jumping polynomials, coincide with  $\bar{\beta}_l$  for all  $l \geq 0$ . Combining Remark 4.3 and Corollary 5.6 we see that independent jumping polynomials satisfy the conditions of Theorem 7.8. Thus the sequence of independent jumping polynomials  $\{H_l\}_{l \geq 0}$  is a generating sequence for some valuation  $\bar{\nu}$  of  $S$ . In particular, every element  $H_l \in S$  is analytically irreducible in  $S$ .

Let the sequence of quadratic transform of  $S$

$$S = S_0 \rightarrow S_1 \rightarrow S_2 \rightarrow \dots \rightarrow S_{k_1} \rightarrow \dots \rightarrow S_{k_2} \rightarrow \dots \rightarrow S_{k_i} \rightarrow \dots$$

be as in Theorem 7.5. Suppose that  $(x_{i-1}, y_{i-1})$  are permissible regular parameters of  $S_{k_{i-1}}$ , such that  $\nu^*(x_{i-1}) = \frac{1}{Q_{i-1}}$  and  $\nu^*(y_{i-1}) = \frac{1}{Q_{i-1}} \cdot \frac{p_i}{q_i}$ . Lemma 6.1 shows that non-free rings will appear in the subsequence  $S_{k_{i-1}} \rightarrow \dots \rightarrow S_{k_i}$  if and only if  $q_i > 1$ , that is if  $\beta_i$  is an independent  $j$ -value and  $i = i_l$  for some  $l > 0$ . In this case the last free ring in this subsequence is  $S_{k_{i-1} + \left[ \frac{p_i}{q_i} \right]}$  and  $S_{k_i}$  is the first free ring following  $S_{k_{i-1} + \left[ \frac{p_i}{q_i} \right]}$ . Thus for all  $l > 0$ ,  $\bar{s}_l = k_{i_{l-1}} + \left[ \frac{p_{i_l}}{q_{i_l}} \right]$  and  $s'_{l+1} = k_{i_l}$ .

Since  $H_0 = x$  and  $H_1 = y - \sum_{j=1}^{i_1-1} \lambda_j x^{\beta_j}$ ,  $(H_0, H_1)$  form a permissible system of parameters in  $S$ .

By Theorem 7.5 there exists a permissible system of parameters  $(x_{i_l-1}, y_{i_l-1})$  in  $S_{k_{i_l-1}}$  such that  $y_{i_l-1}$  is the strict transform of  $H_l$  in  $S_{k_{i_l-1}}$ . If  $f_1 = \left[ \frac{p_{i_l}}{q_{i_l}} \right]$  then

$S_{k_{i_l-1}+f_1} = S_{\bar{s}_l}$  and

$$(X_l, Y_l) = \left(x_{i_l-1}, \frac{y_{i_l-1}}{x_{i_l-1}^{f_1}}\right)$$

form a permissible system of parameters in  $S_{\bar{s}_l}$ . Thus the strict transform of  $H_l$  in  $S_{\bar{s}_l}$  is  $Y_l$ . In particular, the strict transform of  $\text{div}(H_1)$  in  $\text{Spec } S_{\bar{s}_1}$  is not empty, and for  $l \geq 2$ , the strict transform of  $\text{div}(H_l)$  in  $\text{Spec } S_{\bar{s}_l}$  is smooth and transversal to  $E_{\bar{s}_l}$ . Therefore  $\{H_l\}_{l \geq 0} \subset S$  form a generating sequence of  $\nu^*$ .

**Remark 7.9.** We have that  $\{H_l\}_{l \geq 0}$  is a minimal generating sequence of  $\nu^*$  if  $p_1 > 1$ . If  $p_1 = 1$  then  $\{H_l\}_{l > 0}$  is a minimal generating sequence of  $\nu^*$ .

## 8. MONOMIALIZATION OF GENERATING SEQUENCES

The goal of this section is to prove the following theorem.

**Theorem 8.1.** *Let  $\mathbf{k}$  be an algebraically closed field of characteristic 0, and let  $K^*/K$  be a finite extension of algebraic function fields of transcendence degree 2 over  $\mathbf{k}$ . Let  $\nu^*$  be a  $\mathbf{k}$ -valuation of  $K^*$ , with valuation ring  $V^*$  and value group  $\Gamma^*$ , and let  $\nu$  be the restriction of  $\nu^*$  to  $K$ , with valuation ring  $V$  and value group  $\Gamma$ . Suppose that  $R \rightarrow S$  is an extension of algebraic regular local rings with quotient fields  $K$  and  $K^*$  respectively, such that  $V^*$  dominates  $S$  and  $S$  dominates  $R$ . Then there exist sequences of quadratic transforms  $R \rightarrow \bar{R}$  and  $S \rightarrow \bar{S}$  along  $\nu^*$  such that  $\bar{S}$  dominates  $\bar{R}$  and the map between generating sequences of  $\nu$  and  $\nu^*$  in  $\bar{R}$  and  $\bar{S}$  respectively, has a toroidal structure.*

The lemma below is crucial in the proof of the theorem.

**Lemma 8.2.** *In the set up of Theorem 8.1, assume that  $\Gamma^*$  is a subgroup of  $\mathbb{Q}$  and  $V^*/m_{V^*} = \mathbf{k}$ . Suppose that  $R$  has regular parameters  $(u, v)$  and  $S$  has regular parameters  $(x, y)$  such that*

$$\begin{aligned} u &= x^t \delta \\ v &= y, \end{aligned} \tag{8.1}$$

where  $t$  is a positive integer and  $\delta$  is a unit in  $S$ . Let  $p$  and  $q$  be positive coprime integers such that  $\frac{\nu^*(y)}{\nu^*(x)} = \frac{p}{q}$  and let  $k = \epsilon(p, q)$ . Let  $\bar{p}$  and  $\bar{q}$  be positive coprime integers such that  $\frac{\nu(v)}{\nu(u)} = \frac{\bar{p}}{\bar{q}}$  and let  $\bar{k} = \epsilon(\bar{p}, \bar{q})$ . Let  $g$  be the greatest common divisor of  $t$  and  $p$ .

Then the sequences of quadratic transforms  $R = R_0 \rightarrow R_1 \rightarrow \dots \rightarrow R_{\bar{k}}$  and  $S = S_0 \rightarrow S_1 \rightarrow \dots \rightarrow S_k$  along  $\nu^*$  satisfy the following property:  $R_{\bar{k}}$  and  $S_k$  are free rings and there exist permissible systems of regular parameters  $(U, V)$  in  $R_{\bar{k}}$  and  $(X, Y)$  in  $S_k$  such that

$$\begin{aligned} U &= X^g \Delta \\ V &= Y \end{aligned} \tag{8.2}$$

for some unit  $\Delta \in S_k$ .

*Proof.* We notice first that  $\frac{\nu(v)}{\nu(u)} = \frac{\nu^*(y)}{\nu^*(x)} = \frac{p}{tq}$ . Writing  $t = gt'$  and  $p = gp'$ , where  $(t', p') = 1$ , gives  $\bar{p} = p'$  and  $\bar{q} = qt'$ . Also after possibly multiplying  $u$  by a constant we may assume that  $\delta = 1 + w$  for some  $w \in m_S$ .

Let  $a$  and  $b$  be nonnegative integers such that  $a \leq p$ ,  $b < q$  and  $aq - bp = 1$ . Let  $\bar{a}$  and  $\bar{b}$  be nonnegative integers such that  $\bar{a} \leq \bar{p}$ ,  $\bar{b} < \bar{q}$  and  $\bar{a}\bar{q} - \bar{b}\bar{p} = 1$ .

By Lemma 6.1 applied to  $S$  and  $R$  respectively, we get that  $S_k$  has a permissible system of parameters  $(X, Y') = \left(\frac{x^a}{y^b}, \frac{y^q}{x^p} - c\right)$ , where  $c \in \mathbf{k}$  is the residue of  $\frac{y^q}{x^p}$ , and  $R_{\bar{k}}$  has a permissible system of parameters  $(U, V) = \left(\frac{u^{\bar{a}}}{v^{\bar{b}}}, \frac{v^{\bar{q}}}{u^{\bar{p}}} - \bar{c}\right)$ , where  $\bar{c} \in \mathbf{k}$  is the residue of  $\frac{v^{\bar{q}}}{u^{\bar{p}}}$ . Moreover,  $x = X^q(Y' + c)^b$ ,  $y = X^p(Y' + c)^a$  and  $u = U^{\bar{q}}(V + \bar{c})^{\bar{b}}$ ,  $v = U^{\bar{p}}(V + \bar{c})^{\bar{a}}$ .

Now

$$U = \frac{u^{\bar{a}}}{v^{\bar{b}}} = \frac{x^{t\bar{a}}\delta^{\bar{a}}}{y^{\bar{b}}} = \frac{[X^q(Y' + c)^b]^{t\bar{a}}\delta^{\bar{a}}}{[X^p(Y' + c)^a]^{\bar{b}}} = \frac{X^{qt\bar{a}}}{X^{p\bar{b}}}\delta^{\bar{a}}(Y' + c)^{bt\bar{a} - a\bar{b}} = X^g\Delta,$$

where  $\Delta = \delta^{\bar{a}}(Y' + c)^{bt\bar{a} - a\bar{b}}$ . Notice that the last equality holds since  $qt\bar{a} - p\bar{b} = gqt'\bar{a} - gp'\bar{b} = g(qt'\bar{a} - p'\bar{b}) = g(\bar{q}\bar{a} - \bar{p}\bar{b}) = g$ .

Furthermore notice that  $\frac{v^{\bar{q}}}{u^{\bar{p}}} = \frac{y^{\bar{q}}}{x^{t\bar{p}}}\delta^{-\bar{p}} = \frac{y^{qt'}}{x^{gt'p'}}\delta^{-\bar{p}} = \left(\frac{y^q}{x^p}\right)^{t'}\delta^{-\bar{p}} = \left(\frac{y^q}{x^p}\right)^{t'}(1 + w)^{-\bar{p}}$ . Therefore  $\bar{c} = c^{t'}$ , and

$$V = \frac{v^{\bar{q}}}{u^{\bar{p}}} - \bar{c} = \left(\frac{y^q}{x^p}\right)^{t'}\delta^{-\bar{p}} - c^{t'} = (Y' + c)^{t'}(1 + w)^{-\bar{p}} - c^{t'} = (Y' + c)^{t'} - c^{t'} + W,$$

where  $W \in wS \subset m_S$ . Since  $m_S \subset (X)S_k$  we have that  $V = Y'\delta_2 + XZ$  for some  $Z \in S_k$  and unit  $\delta_2 = \frac{\left(\frac{y^q}{x^p}\right)^{t'} - c^{t'}}{\left(\frac{y^q}{x^p} - c\right)} \in S_k$ . Thus  $(X, V)$  form a permissible system of parameters in  $S_k$ . We set  $Y = V$  to complete the proof.  $\square$

**Lemma 8.3.** *In the set up of Theorem 8.1, assume that  $\Gamma^*$  is a subgroup of  $\mathbb{Q}$  and  $V^*/m_{V^*} = \mathbf{k}$ . Suppose that  $R$  has regular parameters  $(u, v)$  and  $S$  has regular parameters  $(x, y)$  such that*

$$\begin{aligned} u &= x^t\delta \\ v &= y, \end{aligned} \tag{8.3}$$

where  $t$  is a positive integer and  $\delta$  is a unit in  $S$ .

Let  $\tilde{S} = S[\delta^{1/t}]_{m_{V^*} \cap S[\delta^{1/t}]}$  and let  $\{T_i\}_{i \geq 0}$  be the sequence of jumping polynomials in  $\tilde{S}$  such that  $T_0 = \tilde{x} = x\delta^{1/t}$  and  $T_1 = y$ . Then either  $\{u, \{T_i\}_{i > 0}\}$  is a sequence of jumping polynomials in  $R$  or there exist sequences of quadratic transforms  $R \rightarrow R'$  and  $S \rightarrow S'$  such that  $R'$  has a system of regular parameters  $(U, V)$ ,  $S'$  has a system



of regular parameters  $(X, Y)$  and

$$\begin{aligned} U &= X^g \Delta \\ V &= Y, \end{aligned}$$

where  $g < t$  is a positive integer and  $\Delta$  is a unit in  $S'$ .

*Proof.* Without loss of generality we may assume that  $\Gamma^*$  is normalized so that  $\nu^*(x) = 1$ . Then  $\nu^*(\tilde{x}) = 1$  and  $\nu(u) = t$ .

For all  $i > 0$  let  $p_i$  and  $q_i$  be coprime integers defined in the construction of jumping polynomials  $\{T_i\}_{i \geq 0}$  in  $\tilde{S}$ . Denote by  $M = \min\{i > 0 \mid t \nmid p_i\}$ . We assume first that  $M = \infty$ , that is  $p_i$  is multiple of  $t$  for every  $i$ . Then since  $u = \tilde{x}^t$ , by Theorem 7.1 we get that  $\{u, \{T_i\}_{i > 0}\}$  is a sequence of jumping polynomials in  $R$ .

Assume now that  $M < \infty$ . Since  $u = \tilde{x}^t$  and  $t \mid p_i$  for all  $i < M$ , by Theorem 7.1 we get that  $\{u, \{T_i\}_{i=1}^M\} = \{T'_i\}_{i=0}^M$  is the beginning of a sequence of jumping polynomials in  $R$  and for all  $i < M$  the pairs of coprime integers  $(p'_i, q'_i)$  defined in the construction of the sequence  $\{T'_i\}_{i \geq 0}$  are  $(p'_i, q'_i) = (\frac{p_i}{t}, q_i)$ .

Recall that the integers  $k_i$  are defined as  $k_0 = 0$  and  $k_i = k_{i-1} + \epsilon(p_i, q_i)$  if  $i > 0$ . Let  $k'_0 = 0$  and  $k'_i = k'_{i-1} + \epsilon(p'_i, q'_i)$  for all  $i > 0$ . We will show first that the sequences of quadratic transforms

$$S = S_0 \rightarrow \dots \rightarrow S_{k_1} \rightarrow \dots \rightarrow S_{k_{M-1}}$$

and

$$R = R_0 \rightarrow \dots \rightarrow R_{k'_1} \rightarrow \dots \rightarrow R_{k'_{M-1}}$$

have the following property: for all  $0 \leq i \leq M-1$  the rings  $R_{k'_i}$  and  $S_{k_i}$  are free, there exist permissible systems of parameters  $(u_i, v_i)$  in  $R_{k'_i}$  and  $(x_i, y_i)$  in  $S_{k_i}$  and a unit  $\delta_i \in S_{k_i}$  such that

$$u_i = x_i^t \delta_i, \quad v_i = y_i$$

and

$$\nu^*(x_i) = \frac{1}{Q_i}, \quad \nu^*(y_i) = \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}.$$

The statement is trivial for  $i = 0$ . Assume that  $i > 0$  and that the statement holds for  $i-1$ . Then Lemma 8.2 applies to  $R_{k'_{i-1}} \subset S_{k_{i-1}}$ . We notice that  $\frac{\nu^*(y_{i-1})}{\nu^*(x_{i-1})} = \frac{p_i}{q_i}$  and  $\frac{\nu(v_{i-1})}{\nu(u_{i-1})} = \frac{p_i}{tq_i} = \frac{p'_i}{q'_i}$ , and therefore  $k = \epsilon(p_i, q_i)$  and  $\bar{k} = \epsilon(p'_i, q'_i)$ . Thus  $R_{k'_i}$  and  $S_{k_i}$  are free rings and there exist permissible systems of regular parameters  $(u_i, w_i)$  in  $R_{k'_i}$  and  $(x_i, z_i)$  in  $S_{k_i}$  such that  $u_i = x_i^t \delta_i$  and  $w_i = z_i$  for some unit  $\delta_i \in S_{k_i}$ .

Now by Theorem 7.5 applied to  $R$  with  $\nu$  replaced by  $\tilde{\nu} = \frac{1}{t}\nu$  we get that  $R_{k'_i}$  has a system of regular parameters  $(h_i, v_i)$  such that  $h_i$  is an exceptional parameter,

$$\nu(h_i) = t\tilde{\nu}(h_i) = t \frac{1}{q'_1 \cdots q'_i} = \frac{t}{q_1 \cdots q_i} = \frac{t}{Q_i}$$

and

$$\nu(v_i) = t\tilde{\nu}(v_i) = t \frac{1}{q'_1 \cdots q'_i} \cdot \frac{p'_{i+1}}{q'_{i+1}} = \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}.$$

Since  $u_i$  is also an exceptional parameter in  $R_{k'_i}$  we have  $u_i = h_i \gamma$  for some unit  $\gamma \in R_{k'_i}$ . Therefore  $(u_i, v_i)$  form a permissible system of parameters in  $R_{k'_i}$  and  $\nu(u_i) = \frac{t}{Q_i}$ . Notice also that  $v_i = \alpha u_i + \beta w_i$ , where  $\alpha, \beta \in S_{k_i}$ . Moreover,  $\beta$  is a unit in  $R_{k'_i}$ , since the image of  $v_i$  is a regular parameter in  $R_{k'_i}/(u_i)$ . This implies that  $v_i = \alpha x^t \delta_i + \beta z_i$  is also a regular parameter in  $S_{k_i}$  and  $(x_i, v_i)$  form a permissible system of parameters in  $S_{k_i}$ . We set  $y_i = v_i$  and observe that  $\nu^*(x_i) = \frac{1}{t} \nu(u_i) = \frac{1}{Q_i}$  and  $\nu^*(y_i) = \nu(v_i) = \frac{1}{Q_i} \cdot \frac{p_{i+1}}{q_{i+1}}$ .

To finish the proof of the lemma we apply Lemma 8.2 to  $R_{k'_{M-1}} \subset S_{k_{M-1}}$ . We have  $p = p_M$ ,  $q = q_M$  and  $\bar{p} = p'_M$ ,  $\bar{q} = q'_M$ . Thus  $R' = R_{k'_M}$  has regular parameters  $(U, V)$  and  $S' = S_{k_M}$  has regular parameters  $(X, Y)$  such that

$$\begin{aligned} U &= X^g \Delta \\ V &= Y, \end{aligned}$$

where  $\Delta$  is a unit in  $S_{k_M}$  and  $g = (p_M, t) < t$ . □

We are now ready to prove Theorem 8.1.

*Proof.* By the discussion of Section 3 we only need to consider the case when  $\Gamma^*$  is a subgroup of  $\mathbb{Q}$  and  $\text{trdeg}_{\mathbf{k}}(V^*/m_{V^*}) = 0$ . Then by the Strong Monomialization theorem we may assume that there exist regular parameters  $(u, v)$  in  $R$  and  $(x, y)$  in  $S$  such that  $u = x^t \delta$  and  $v = y$  for some unit  $\delta \in S$ . If  $t = 1$  then  $R = S$  and the conclusion of the theorem is trivial, so assume that  $t > 1$ .

We set  $\tilde{S} = S[\delta^{1/t}]_{m_{V^*} \cap S[\delta^{1/t}]}$  and  $\tilde{x} = x\delta^{1/t}$ . Let  $\{T_i\}_{i \geq 0}$  be a sequence of jumping polynomials in  $\tilde{S}$  such that  $T_0 = \tilde{x}$  and  $T_1 = y$ . For all  $i > 0$  let the coprime integers  $p_i$  and  $q_i$  be defined as in the construction of jumping polynomials  $\{T_i\}_{i \geq 0}$  in  $\tilde{S}$ .

First, let us assume that  $t|p_i$  for all  $i > 0$ . Then by the proof of Lemma 8.3 we have that  $\{u, \{T_i\}_{i > 0}\}$  is a sequence of jumping polynomials in  $R$ . In particular, this implies that  $T_i \in S$  for all  $i > 0$ .

If  $\Gamma^*$  is a discrete subgroup of  $\mathbb{Q}$ , after performing a sequence of quadratic transforms along  $\nu^*$  and normalizing  $\Gamma^*$  we may assume that  $\nu^*(x) = 1$  generates  $\Gamma^*$ . In this case Corollary 5.4 shows that  $q_i = 1$  for all  $i > 0$ . Then  $\nu^*(T_i) = \sum_{j=1}^i p_j$  is a multiple of  $t$  for all  $i > 0$ . Thus in view of Remark 4.7 we have that  $\nu^*(u) = t$  generates  $\Gamma$ . By Theorem 4.5 the sequence  $\{u, \{T_i\}_{i > 0}\}$  form a generating sequence in  $R$ . Now since  $T_1 = y$  and for all  $i > 0$

$$T_{i+1} = v - \lambda_1 u^{n_1} - \lambda_2 u^{n_2} - \cdots - \lambda_i u^{n_i} = y - \lambda_1 u^{n_1} - \lambda_2 u^{n_2} - \cdots - \lambda_i u^{n_i}$$

is linear in  $y$ , repeating the proof of Theorem 4.5 we get that  $\{x, \{T_i\}_{i>0}\}$  is a generating sequence in  $S$ .

If  $\Gamma^*$  is a non-discrete subgroup of  $\mathbb{Q}$ , let  $\{H_i\}_{i\geq 0}$  be the sequence of independent jumping polynomials in  $\tilde{S}$ . Then  $\{u, \{H_i\}_{i>0}\}$  is a sequence of independent jumping polynomials in  $R$ . By Theorem 4.6 we have that  $\{H_i\}_{i\geq 0}$  is a generating sequence in  $\tilde{S}$  and  $\{u, \{H_i\}_{i>0}\}$  is a generating sequence in  $R$ . Moreover,  $\{H_i\}_{i\geq 0}$  is a minimal generating sequence of  $\nu^*$  since  $p_1 > 1$  as a multiple of  $t$ . This implies that  $\{x, \{H_i\}_{i>0}\}$  is a minimal generating sequence in  $S$ .

If  $t \nmid p_i$  for some  $i > 0$ , let  $R'$ ,  $S'$  and  $g$  be as in the proof of Lemma 8.3. Notice that  $g < t$ , i.e., the exponent of Strong Monomialization has dropped. We now repeat the above argument starting with the rings  $R'$  and  $S'$  (instead of  $R$  and  $S$ ). After a finite number of iterations we obtain the desired conclusion.  $\square$

**Remark 8.4.** In the proof of Theorem 8.1 we have  $\{u, \{H_i\}_{i>0}\}$  is a minimal generating sequence of  $\nu$  in  $R$  if  $p_1 \neq t$ , otherwise  $\{H_i\}_{i>0}$  is a minimal generating sequence of  $\nu$  in  $R$ .

**Remark 8.5.** Assumptions and notations are as in the statement of Theorem 8.1. By [5, Theorem 6.1] there exist sequences of quadratic transforms  $R \rightarrow \bar{R}$ ,  $S \rightarrow \bar{S}$  along  $\nu^*$  such that  $\bar{R}$  has regular parameters  $(u, v)$ ,  $\bar{S}$  has regular parameters  $(x, y)$  such that

$$\begin{aligned} u &= x^e \delta \\ v &= y \end{aligned} \tag{8.4}$$

where  $\delta$  is a unit in  $\bar{S}$ , and  $e = [\Gamma^* : \Gamma]$  is the ramification index of  $\nu^*$  relative to  $\nu$ . The monomial form of (8.4) is preserved by the sequences of quadratic transforms of the proof of Theorem 8.1. Furthermore, the exponent  $e$  does not drop under such sequences of quadratic transforms (see the proof of [5, Theorem 6.1]). It follows from the proof of Theorem 8.1 that the map between generating sequences of  $\nu$  and  $\nu^*$  in  $\bar{R}$  and  $\bar{S}$  respectively, has the desired toroidal structure.

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